# **UKERC**

#### **UKERC RESEARCH ATLAS: SOCIO-ECONOMIC ISSUES**

Section 1: An overview which includes a broad characterisation of research activity in the sector and the key research challenges

Last Updated: 21 June 2013

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#### 1. Overview

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#### Characterisation of the field

The UK socio-economic energy research community has grown significantly in recent years both in terms of size and prominence. This can largely be attributed to a substantial increase in funding to support research in this area from both the Economic and Social Research Council (ESRC) and Engineering and Physical Sciences Research Council (EPSRC), predominantly via the Research Councils Energy Programme (RCEP). This financial and institutional support has served to reinforce the socio-economic energy research community and has led to the emergence of a healthy number of socio-economic energy research groups, many of which are considered to be international leaders in this field. However, although socio-economic energy research is prospering at present in the UK, it continues to be dwarfed by techno-centric energy research being undertaken by the engineering community.

The UK socio-economic energy research landscape is a particularly broad and diverse field of research. In general, researchers in this area take the starting position that energy systems constitute complex systems, which are comprised of a multitude of inter-connected 'technical' (e.g. technology, infrastructure etc.) and 'social' elements (e.g. institutions, user practices, regulation, business models etc.) that together are capable of satisfying our energy needs. Whilst other more traditional energy research communities (e.g. engineering) examine the development and implementation of energy technologies relating to specific aspects of the wider energy system (e.g. energy generation, distribution, transmission and supply technologies), the socio-economic research community examines the interplay between these technical components and the more 'social' phenomena that pervade the energy system. In essence, the community emphasises the importance of nontechnical system components in satisfying our energy needs.

The socio-economic research community incorporates a variety of scholars operating within different scientific disciplines. Broadly, the community includes economists, social scientists, psychologists, human geographers, business study experts, historians and political scientists. Economics has traditionally been the most dominant discipline in this field. However, significantly more attention has in recent years been given over to the cultural and political underpinnings of energy generation and consumption. For instance, a growing number of research projects in the UK have begun to apply concepts from sociology, psychology, Science & Technology Studies (STS), innovation studies, business studies, geography, planning environmental management in order to tackle key energy research challenges.

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An important characteristic of the UK socio-economic research landscape is its focus on engaging with inter-disciplinary energy research projects. Consequently, the UK socio-economic energy research community has a wealth of experience of engaging with characteristically distinct but complementary research communities, such as engineering, mathematics, physics etc. It is generally understood that this community is at the fore-front of inter-disciplinary energy research in the UK and is making an important contribution to its inter-disciplinary capabilities. However, whilst engagement between the socio-economic research community and the science, technology, engineering and mathematics (STEM) communities has improved in recent years, there remains significant scope to improve the way in which these communities interact. As such efforts should continue to be made to strengthen the links between these communities via inter-disciplinary initiatives, such as UKERC and the SUPERGEN programme.

This document is concerned only with UK research projects, funding programmes and institutes that are a) primarily



concerned with energy issues and b) which incorporate a significant focus on social science and/or economics research. Those that do not fulfil these criteria are excluded from this report. It is also important to note that only interdisciplinary initiatives that include a significant focus on socio-economic energy research are examined in this report. Those initiatives where socio-economic research represents less of a focus are instead incorporated in the 'Interdisciplinary Centres' landscape document.

## **Research Challenges**

The range of research challenges undertaken by the socioeconomic energy research community is particularly broad given the variety of disciplines that operate in this area. However, the majority of research in this field is centred around improving our understanding of how we can deliver a more environmentally sustainable, affordable and secure energy system, given the emphasis on achieving these aims across both government and industry.

A number of scholars in this field adopt a 'whole energy system' perspective to address these key energy research challenges, approaching the energy system as an integrated whole, where both technical and non-technical are inter-connected. This approach is typified in many respects by the Dutch 'transitions' perspective, which presents a means of helping us to think about how we might be able to foster radical socio-technical innovation in order to facilitate a transition to a sustainable energy system. This approach is sensitive to socio-economic phenomena such as path dependency, lock-in and co-evolution that can help to explain how energy system change unfolds and importantly in this context, how we might influence this change to help us address key energy research challenges via 'transition management'.

Moving beyond system change, other research has approached the UK energy system as an integrated whole such as that which examines the design and potential impacts of national government's energy policy (e.g. Electricity Market Reform, Green Deal etc.). This type of research typically examines the trade-offs between policies designed to meet different government policy objectives (security, sustainability, competitiveness and social inclusion) and potential solutions that could help to resolve these issues. Scholars have also examined the geopolitics of energy, focusing in particular upon the UK's relationship with other nations (e.g. Russia, US) or confederations (e.g. EU, Middle East) and how these can influence key issues such as energy security and affordability in the UK.

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Research that has not adopted a 'whole system' perspective has tended to focus instead on socio-economic issues relating to specific parts of the energy supply chain. Much of this work has focused upon the upper end of the energy supply chain, i.e. energy generation, transmission and distribution. For instance, many scholars have examined the types of energy policy, regulatory framework and governance arrangements that could be implemented to manage energy generation, transmission and distribution in order to help us address real world issues (e.g. energy poverty, energy security and climate change). Additionally, research has also included economic assessments of upstream energy infrastructure, examining the costs and benefits associated with investments in different types of technology. Other work has focused more upon the politics of energy infrastructure, such as the attitudes of different demographic groups towards the development of energy generation, transmission and distribution infrastructure, such as nuclear and wind power. This has provided valuable insight into how and why different socio-economic react towards different types of energy strategies and investments.

Whilst work has traditionally been focused at the upper end of the energy supply chain there have been a growing number of research projects exploring socio-economic phenomena at the lower end of this chain, i.e. energy supply and consumption. In terms of energy supply a lot of research has examined the ways in which we could address issues such as energy poverty, energy



security and climate change via the introduction of energy supply company oriented regulation and market mechanisms (e.g. Energy Company Obligation, Green Deal, Feed-in-Tariff etc.). Other work has challenged the prevailing paradigm of energy supply in the UK, examining for instance how alternative energy business models (e.g. Energy Service Companies) or decentralised energy governance arrangements (e.g. community or city-owned energy companies) could help to address these issues.

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Significant research has also been undertaken into energy consumption, analysing socio-economic phenomena that exist at the 'demand side' or in other words 'beyond the meter'. Much work has for example drawn upon a combination of psychological, sociological and economic theory to improve our understanding of the factors responsible for shaping consumers' energy decision making processes and that in turn characterise the type and level of their energy demand.

Drawing upon these insights research has also explored the types of technical and non-technical interventions that could be made within the home and/or the workplace, which are capable of minimising energy demand. In recent years much work has examined how the implementation of smart (e.g. smart meters), decentralised energy generation and energy efficiency technologies could play in achieving this aim, as well as the types of strategies that could promote their uptake.

In summary, research in this area has generally responded to the same challenges that currently face both government and industry. In order to address these challenges the socioeconomic research community has engaged in research projects that focus upon a wide-range of socio-economic phenomena, operating at a variety of spatial levels and within various different aspects of the energy system. The result is a diverse research landscape whose outputs are particularly relevant to both policy makers and industry leaders alike.



### 2. Capabilities Assessment

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Generally the UK is understood to possess an internationally strong socio-economic research base. However, whilst the UK is particularly strong in some research areas, it possesses weaker capabilities in others. Focusing on the former first the UK is considered to be particularly strong in the following areas of socio-economic energy research:

- Dynamics of energy system change and innovation

   Analysis and modelling of the interplay between social and technical energy system components and how these characterise energy system change. A specific focus on the development, uptake and potential impact of energy innovations, both technical and non-technical.
- **Energy economics** The application of economics and econometric modelling to analyse the costs and associated benefits of a variety of energy system developments, both technical (e.g. new generation capacity) and non-technical (e.g. new market regime).
- Energy system governance Exploration of existing and alternative governance arrangements for energy systems and analysis of their respective impacts upon the broader energy system (e.g. generation, supply, consumption etc.) and their potential to address key challenges (e.g. climate change, energy security). Particular focus on decentralised governance led by communities and local authorities.
- Design and impacts of energy policy and regulation

   Examination of the effectiveness, costs and benefits of policies and regulation designed to promote: competition within energy markets; uptake of innovative technologies; and sustainable energy consumption practices in order to address key energy challenges (e.g. energy security)
- Energy use behaviours and decision-making in the home – The factors responsible for characterising the type and level of energy demand, as well as consumers'

engagement with energy technologies. Strong focus on the uptake and potential impact of smart technologies.

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An underdeveloped but fast growing capability of the UK energy research community is around energy business model innovation. A number of projects (particularly around energy infrastructure) have recently emerged that are exploring the range of potential alternative energy business models and the role they could play in addressing key energy challenges.

Other medium-level capabilities relate to the politics and acceptability of energy policy and infrastructure development. Much of this work examines how these developments impact upon different socio-economic groupings, as well as the ethical implications of these impacts.

Finally, one cross-cutting area of research that is currently underdeveloped but gaining additional traction is around energy investment decision making. Whilst traditionally energy economics has long been established in this field, this related research area draws upon alternative economics theories (e.g. evolutionary economics) that can help to provide insight into the factors (e.g. bounded rationality) responsible for shaping decision making around energy investment both in the home and work place.

The UK is considered to possess weaker research capabilities in other areas, such as commercial energy use behaviours and decision-making. Whilst the UK possesses strong capabilities in understanding the factors that characterise domestic energy consumption, much less is currently known about those that characterise energy consumption in a commercial context, across the various different sectors of the UK economy. To some extent DECC's newly established Energy Efficiency and Deployment Office (EEDO) and the new inDemand End-Use Energy Demand Centre will help to address this gap in the research landscape.



The UK also currently possesses poor capabilities around low-carbon skills or green jobs. In particular, little research has examined the types of structures that need to be in place in order to deliver the wealth of skills that will be required to drive forward a transition to a sustainable energy system and maintain this system in the future. Little is understood about the strategies that could potentially address concerns around a future skills shortage in the UK energy sector.

Finally, the socio-economic energy research community has typically focused its attentions on UK-specific energy research at the expense of research that draws international comparisons of the UK and other countries' energy systems. Consequently, relatively little is known about the differences and similarities between energy systems in different countries and importantly whether valuable lessons could be shared between these to help address its energy challenges.

**Table 2.1 UK Capabilities** 

UK Capability	Area	Market potential
High	Energy economics	Global – High in short term
	Energy scenario building and modelling	Global – High in short term
	<ul> <li>Design and impacts of energy policy and regulation</li> </ul>	Global – Medium in medium term
	Energy system governance	Global – Medium in medium term
	<ul> <li>Dynamics and drivers of energy system change and</li> </ul>	Global – Medium in medium term
	innovation	
	<ul> <li>Domestic energy use behaviours and decision-making</li> </ul>	Global – High in medium term
Medium	<ul> <li>Energy business model innovation</li> </ul>	Global – High in medium term
	<ul> <li>Politics and acceptability of energy</li> </ul>	Global – High in medium term
	Energy investment decision making	Global – Medium in medium term
Low	Low carbon skills and training	Global – High in medium term
	<ul> <li>Commercial energy use behaviours and decision-making</li> </ul>	Global – High in medium term
	<ul> <li>International comparisons of energy systems</li> </ul>	Global – High in short term



# **3. Basic and applied strategic research** Return to Top

The level and coherence of the socio-economic energy research community has grown significantly between 2005 and 2013, largely due to the availability of a significant amount of new funding designed to support its research, the majority of which has been made available via programmes managed by the Research Councils' Energy Programme.

UKERC continues to represent the main driver of socio-economic energy research in the UK, leading 5 inter-disciplinary energy research themes including: energy demand; energy supply; energy & environment; energy systems; and technology & policy assessment. UKERC is currently preparing a bid to extend its operations into a third phase. Alongside UKERC's work a significant amount of socio-economic energy research has been channelled via the various SUPERGEN consortia and hubs. Whilst socio-economic research comprises only one part of these centres' research programme, the sheer size of these research groups means they warrant mention in this context.

One of the most significant developments in this field in the last year has been the creation of 5 new End Use Energy Demand research centres, which will run from 2013 – 2018. These have been established to strengthen and support the research base around energy consumption behaviour and specifically, measures to promote levels of energy efficiency. The centres will receive funding via the Research Councils UK Energy Programme (RCUK), as well as a number of industrial partners, with total investment will reach the order of £39 million. The centres are as follows:

UK InDemand Centre
RCUK Centre for Energy Epidemiology
Centre for Sustainable Energy Use in Food Chains
DEMAND: Dynamics of Energy, Mobility and Demand Centre
Research Centre on Innovation and Energy Demand

Other initiatives also continue to make an important contribution to end-use energy demand research. These primarily include the People, Energy and Buildings, Transforming Energy Demand in Buildings Through Digital Innovation (Buildteddi) and the ESRC Climate Change Leadership Fellowships research programmes. Research is also being conducted through the Sustainable Lifestyles Research Group (SLRG) (University of Surrey).

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A number of other large research consortia are leading research into energy policy, regulation and governance. These include the Liveable Cities: Transforming the Engineering of Cities to Deliver Societal and Planetary Wellbeing research programme, the Centre for Climate Change, Economics and Policy (LSE & Leeds) and the Innovation, Governance and Affordability for a Sustainable and Secure Economy (iGOV) (Exeter) project. Work that explicitly challenges the prevailing centralised energy governance paradigm has been funded via the Energy and Communities Collaborative Venture.

Related to this work is research that explicitly examines the dynamics of energy innovation systems and energy system change (i.e. transitions). The most significant projects in this field include the Realising Transition Pathways - Whole Systems Analysis for a UK More Electric Low Carbon Energy Future consortium; the Research Centre on Innovation and Energy Demand; the RCUK Energy Strategy Fellowship (Imperial); and a number of projects as part of the ESRC Climate Change Leadership Fellowships research programme.

Recently a number of socio-economic research initiatives have been funded that examine the key socio-economic aspects of developing a radically new energy infrastructure for the UK in the future. These include the <u>Infrastructure Transitions Research Consortium (ITRC)</u>; <u>Re-Engineering the City 2020-2050: Urban Foresight and Transition Management</u> consortium and projects



funded under the <u>Innovative business models around</u> <u>infrastructure interdependencies</u> programme.

Finally, research into the ethics & acceptability of key energy system developments, such as energy policy and infrastructure developments is primarily being undertaken under the <a href="ESRC Climate Change Leadership Fellowships">ESRC Climate Change Leadership Fellowships</a> and the <a href="Energy, Equity">Energy, Equity</a> and <a href="Security">Security</a> research programmes.

A number of charitable trusts make smaller yet important contributions to socio-economic research. These include the Esmee Fairbairn Foundation, the Ashden Trust and the Joseph Rowntree Foundation, which for instance issued a call in 2010 on 'a socially just transition to a low carbon economy and society'.



Table 3.1: Resea Funding Stream	Funding Agency	Description	Committed Funds	Period	Representative Annual Spend
End Use Energy Demand (EUED) Research Centres	EPSRC	Research into energy efficiency measures, reduction in demand for energy, and reduction in demand for energy services / mobility will all contribute to reducing carbon emissions from energy use. This includes research extending from the built environment to industrial processes and products, from materials to design and from markets and regulation to organisational and individual behaviour.	£39,000,000	2013 - 2018	£7,800,000
		All of the EUED Centres will be multidisciplinary collaborations of leading academics who will contribute to a central EUED research theme, which they will define themselves. The main criterion will be that the research theme should be directed towards helping to satisfy the UK's 2050 greenhouse gas emissions target.			
		5 centres have been funded as part of this programme:			
		UK Indemand Centre (£6.2m)  The academic consortium will be led by the University of Cambridge, partnered with the universities of Bath, Leeds and Nottingham Trent University. The Centre will receive funding by ESRC and EPSRC as part of the Research Councils UK Energy Programme. The focus of the Centre will be energy demand and the use of energy intensive materials in the UK industrial sector in order to develop			



Funding Stream	Funding Agency	Description	Committed Funds	Period	Representative Annual Spend
		understanding of the operation and performance of the material and energy system in UK industry. The main aim will be to identify policy, business and consumer incentives to initiate change across the industrial sector.			
		RCUK Centre for Energy Epidemiology (£5.7m)			
		The RCUK Centre for Energy Epidemiology will be funded by EPSRC and ESRC as part of the Research Councils UK Energy Programme. The main objective of the Centre will be to provide an evidence base for government and industry by maximising the value of existing and future large energy data. It is anticipated that empirical evidence will be provided on the impacts of energy policy and investment. The overarching goal will be to enhance accountability and support energy efficiency investments in the UK.  Centre for Sustainable Energy Use in Food			
		Chains (£5.7m)  The Centre will be a consortium led by Brunel University, partnered with the universities of Manchester and Birmingham. The aim of the Centre will be to develop approaches, processes and technologies to minimise energy demand in all stages of the food chain, from production to distribution, retail and consumption.			

Funding Stream	Funding Agency	Description	Committed Funds	Period	Representative Annual Spend
		DEMAND: Dynamics of Energy, Mobility			_
		and Demand Centre (£3.9m)			
		The Centre will be led by the University of Lancaster, partnered with the universities of Aberdeen, Manchester, Leeds, Reading, Sheffield, Sussex and UCL. Funding will be provided by EPSRC and ESRC as part of the Research Councils UK Energy Programme. The overarching aim of the Centre will be to bridge the gap and work across the boundaries of mobility and building energy use. The societal viability of technological and infrastructural innovation will be assessed, integrating historical research with future planning.			
		Research will focus on infrastructure, buildings and transport systems.  Research Centre on Innovation and Energy Demand (£3.5m)			
		The Centre will be funded by ESRC and EPSRC and will be a consortium between the universities of Sussex and Oxford. Its main objective will be to inform and support UK energy and climate policies, by providing insight on the emergence, adoption and impact of 'low-energy innovations', i.e. new technologies, organisational arrangements and			
		behaviours that are likely to improve energy efficiency and reduce energy demand.			
UK Energy Research Centre (UKERC)	NERC/EPSRC/ ESRC	UKERC was established in 2004 following a successful £14m bid to establish an organisation designed to bring together all	£32,500,000	2004 - 2014	£3,600,000

Funding Stream	Funding Agency	Description	Committed Funds	Period	Representative Annual Spend
		researchers working on energy problems in the UK. Its UKERC was renewed for a second phase in 2009, following a successful £18.5m bid. UKERC is currently preparing a bid for a third phase, which if successful would commence in 2014.			
		UKERC carries out world-class research into sustainable future energy systems. It constitutes the hub of UK energy research and an important gateway between the UK and the international energy research communities. In terms of research UKERC is currently undertaking work in the following areas:			
		<ul> <li>Technology and Policy Assessment</li> <li>Energy and Environment</li> <li>Energy Supply</li> <li>Energy Demand</li> <li>Energy Systems</li> </ul>			
		Beyond research, UKERC also engages in a number of other important activities. These include:			
		<ul> <li>The National Energy Research Network (NERN)</li> <li>The UKERC Research Atlas</li> <li>A delivery partner for TSB's Knowledge Transfer Network (KTN) for Energy Generation and Supply</li> <li>The Energy Data Centre</li> <li>UKERC's Meeting Place</li> </ul>			
		UKERC also plays a key role in supporting learning and developing skills via a host of			



Funding Stream	Funding Agency	Description	Committed Funds	Period	Representative Annual Spend
		interdisciplinary PhD studentships, an international PhD summer schools and its Sparks network for early-career energy researchers.			
SUPERGEN Consortia & Hubs	EPSRC	SUPERGEN is part of the Research Council's Energy Programme, led by EPSRC in partnership with BBSRC, ESRC and NERC, and is a key initiative in Sustainable Power Generation and Supply. It aims to contribute to the UK's environmental emissions targets through a radical improvement in the sustainability of the UK's power generation and supply.  SUPERGEN takes a radically different and long-term approach to supporting research in order to promote significant step change rather than incremental progress. The approach includes the involvement of multidisciplinary partnerships working in major	£98,000,000(approximately)	2004 - 2013	£2,560,000
		programmes of work, rather than individual research groups working in isolation and the involvement of appropriate user or industrial collaborations to encourage the take up of research without inhibiting innovation.			
		With the first consortia launched in 2003, the SUPERGEN program now supports 8 consortia and 5 Hubs. There are significant pockets of social science research in some of the consortia it supports, notably: the <u>Sustainable Hydrogen Energy Consortium (SHEC)</u> ; Flexible Networks; Bioenergy; and Marine.			

Funding Stream	Funding Agency	Description	Committed Funds	Period	Representative Annual Spend
		These were re-funded in 2007 with a significant cross-consortium social science element. In 2010 EPSRC carried out a consultation to determine how/if the SUPERGEN programme should continue post 2012. The new structure (of a Hub) will permit the inclusion of social science elements where appropriate.			
Innovative business models around infrastructure interdependencies	EPSRC	Two large projects have been funded through this programme:  i-BUILD: Infrastructure Business models, valuation and Innovation for Local Delivery	£7,000,000	2013 - 2017	£1,750,000
		Continued delivery of our civil infrastructure, particularly given current financial constraints is likely to require alternative models to mobilise the necessary finance. The i-BUILD centre will bring together three UK universities with world-leading track records in engineering, economics and social sciences to examine innovative business models around infrastructure interdependencies primarily at the scale of neighbourhoods, towns and cities where infrastructure is most dense and interdependencies between infrastructures, economies and society are most profound.			
		International Centre for Infrastructure Futures (ICIF)  The Centre will create a shared, facilitated learning environment in which social			

Funding Stream	Funding Agency	Description	Committed Funds	Period	Representative Annual Spend
	-	scientists, engineers, industrialists, policy			-
		makers and other stakeholders can			
		research and learn together to understand			
		how better to exploit the technical and			
		market opportunities that emerge from			
		the increased interdependence of			
		infrastructure systems. The Centre will			
		focus on the development and			
		implementation of innovative business			
		models and aims to support UK firms wishing to exploit them in international			
		markets.			
		markets.			
		The Centre will undertake a wide range of			
		research activities on infrastructure			
		interdependencies with users, which will			
		allow problems to be discovered and			
		addressed earlier and at lower cost.			
		Because infrastructure innovations alter			
		the social distribution of risks and			
		rewards, the public needs to be involved in decision making to ensure business			
		models and forms of regulation are			
		socially robust. As a consequence, the			
		Centre has a major focus on using its			
		research to catalyse a broader national			
		debate about the future of the UK's			
		infrastructure, and how it might contribute			
		towards a more sustainable, economically			
		vibrant, and fair society.			
Liveable Cities:	<u>EPSRC</u>	Liveable Cities is an ambitious, five-year	£6,300,000	2012 -	£1,260,000
<u>Transforming the</u>		programme of research to develop a		2017	
Engineering of		method of designing and engineering low			
Cities to Deliver		carbon, resource secure, well-being			
Societal and Planetary		maximised UK cities.  This will be achieved via the development			
Wellbeing		of a unique City Analysis Methodology			
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Funding Stream	Funding Agency	Description	Committed Funds	Period	Representative Annual Spend
		scales in order to develop sustainable urban environments. The three energy related projects funded were as follows:			
		<ul> <li>Re-Engineering the City 2020-2050: Urban Foresight and Transition Management</li> <li>Challenging Lock-in through Urban Energy Systems (CLUES)</li> <li>SECURE: SElf Conserving URban Environments</li> </ul>			
Infrastructure Transitions Research Consortium (ITRC)	<u>EPSRC</u>	This is an interdisciplinary research programme on the long term dynamics of interdependent infrastructure systems - including energy, transport, water, waste, information and communications.	£4,700,000	2011 - 2015	£1,175,000
		The aim is to develop and demonstrate a new generation of models to look at the performance, risk and interdependence of infrastructure systems in order to inform analysis, planning and design of infrastructure.			
		This will be followed by using the models to test out different strategies for national infrastructure and see how different strategies perform with regards to reliability and security of supply, cost, carbon emissions and how they would respond to future changes in demographics and to environmental change.			
		ITRC is undertaking 5 key work packages:			
		1. Balancing infrastructure capacity			

Funding Stream	Funding Agency	Description	Committed Funds	Period	Representative Annual Spend
		and demand under uncertainty  2. Understanding future risks of infrastructure failure  3. Managing infrastructure as a complex adaptive system  4. Enabling tools for the above 3 work packages  5. Developing integrated strategies for transitions in national infrastructure			
Centre for Climate Change, Economics and Policy (CCCEP)	ESRC	Hosted jointly by the University of Leeds and the London School of Economics and Political Science, CCCEP brings together some of the world's leading researchers on climate change economics and policy, across many different disciplines.  The Centre has five inter-linked research programmes:  • Developing climate science and economics • Climate change governance for a new global deal • Adaptation to climate change and human development • Governments, markets and climate change mitigation • The Munich Re Programme: Evaluating the economics of climate risks and opportunities in the insurance sector  CCCEP are currently preparing a bid for a second phase to continue the centre beyond 2013.	£4,650,000	2008 - 2013	£930,000
Whole Systems	<u>EPSRC</u>	The UCL Energy Institute will lead a	£4,600,000	2013 -	£1,150,000



Funding Stream	Funding Agency	Description	Committed Funds	Period	Representative Annual Spend
Energy Modelling Consortium (WholeSEM)		ground breaking £5.7 million whole systems energy modelling consortium (wholeSEM); a new initiative to develop, integrate and apply state of the art energy models. The consortium is led by University College London and consists of Imperial College London, the University of Cambridge and the University of Surrey representatives.		2017	
		The wholeSEM consortium will make an internationally leading research impact, prioritising on key modelling areas of high relevance to interdisciplinary energy systems. The research will focus on:			
		<ol> <li>How does energy demand co-evolve with changes in practice, supply, and policy?</li> <li>How will the endogenous, uncertain and path dependent process of technological change impact future energy systems?</li> <li>How can the energy supply-demand system be optimised over multiple energy vectors and infrastructures?</li> <li>What are the major future physical and economic interactions and stresses between the energy system and the broader environment?</li> </ol>			
		The consortium, funded by EPSRC under the RCUK Energy Programme, will employ extensive integration mechanisms to link and apply interdisciplinary models to key			



Funding Stream	Funding Agency	Description	Committed Funds	Period	Representative Annual Spend
		energy policy problems, with substantive bilateral engagement with stakeholders in academia, government and industry.			
Energy and Communities Collaborative Venture	ESRC and EPSRC	How individuals and communities use energy, their understanding of energy use and effective, community management of energy and energy regulation will form the basis of seven new Energy and Communities initiative projects. The projects are a part of the Energy Research Programme which will work with communities from the outset of their research to find appropriate ways to reduce energy demand.	£4,000,000	2010 - 2015	£800,000
		The £4 million investment from the Economic and Social Research Council (ESRC) and the Engineering and Physical Sciences Research Council (EPSRC) is expected to have significant impact within the communities that they are working with and beyond, to other communities looking to address energy demand reduction in the context of increasing challenges in energy security and equity.			
		Projects funded by this programme include but are not limited to:  • The Role of Community-Based Initiatives in Energy Saving • Heat and the City: Comparing the			
		<ul> <li>trajectory of sustainable heat and energy conservation in the municipal communities of Glasgow and Edinburgh</li> <li>Sustainability Invention and</li> </ul>			

Funding Stream	Funding Agency	Description	Committed Funds	Period	Representative Annual Spend
		Energy Demand Reduction: Co- designing communities and practice			
Energy challenges for complexity science	EPSRC	This programme supports research designed to develop and apply the tools and techniques of complexity science to energy research challenges. In doing so the initiative aims to promote knowledge exchange between the complexity science	£4,000,000	2009 - 2014	£800,000
Adaptation And Resilience Of The UK Energy System To Climate Change	EPSRC & UKCIP	and energy research communities.  This jointly funded programme has supported multidisciplinary consortia concerned with the adaptation and resilience of energy generation and transmission systems in the context of current climate and projected changes. Specifically this programme supports research multidisciplinary research into the implications of projected climate changes on the energy generation and transmission system.	£3,000,000	2011 - 2016	£600,000
		The programme has funded three projects:  • Adaptation and Resilience In Energy Systems (ARIES) • Adaptation and Resilience of Coastal Energy Supply • Resilient Electricity Networks for Great Britain (RESNET)			
People, Energy and Buildings	EDF & EPSRO		£2,850,000	2010 - 2014	£712,500

Funding Stream	Funding Agency	Description	Committed Funds	Period	Representative Annual Spend
Established Career Fellowships	EPSRC	The key projects funded included:  • People, Energy and Buildings: Distribution, Diversity and Dynamics (PEB:D3) • Conditioning Demand - Older People, Diversity and Thermal Experience • Community Innovation in Sustainable Energy  EPSRC has recently granted two established research fellowships to undertake socio-economic research. These grants have been awarded to individuals who have exhibited research excellence,	£2,850,000	2012 - 2017	£570,000
		an ability to set the research agenda, strategic vision, inspirational team leadership's skills and significant profile and influence in the research domain. Two fellowships have so far been awarded:			
		<ul> <li>Prof. Jim Skea is leading on the RCUK Energy Strategy Fellowship, the aim of which is two-fold: 1) map the UK's energy research needs; and 2) undertake an international comparison of the effectiveness of energy innovation systems.</li> <li>Prof. Catherine Mitchell is leading the Innovation, Governance and</li> </ul>			
		Affordability for a Sustainable and Secure Economy fellowship. The focus of this project is to examine the relationships between innovation, governance, energy			

Funding Stream	Funding Agency	Description	Committed Funds	Period	Representative Annual Spend
		demand and affordability.			-
Realising Transition Pathways - Whole Systems Analysis for a UK More Electric Low Carbon Energy Future	EPSRC	This project extends the work of the £2.1m Transition Pathways consortium project, which was jointly sponsored by E.ON UK and the EPSRC and ran from 2008 to 2011.  The original project entailed innovative collaboration between engineers, social scientists and policy analysts to both develop and analyse a set of 'transition pathways' towards a UK low carbon electricity system, which could meet the UK's target of an 80% cut on 1990 levels of greenhouse gas emissions by 2050. The team developed and applied tools to analyse the technical feasibility, social acceptability and environmental and economic impacts of these pathways.  Building on this work the aim of the new project is to explore what needs to be done to achieve a transition that successfully addresses the energy policy 'trilemma', i.e. the simultaneous delivery of low carbon, secure and affordable energy services. It focuses on electricity, but in a context that includes the electrical provision of heat and transport, and key EU developments and policies. The project will inform thinking and decision-making about technological and behavioural developments, and the roles of key energy system 'actors', governance arrangements and regulations in a low carbon transition.	£2,600,000	2012 - 2016	£650,000

Funding Stream	Funding Agency	Description	Committed Funds	Period	Representative Annual Spend
ESRC Climate Change Leadership Fellowships	ESRC	The project will:  1. Analyse actors' choices and decisions in past, current and prospective developments in electricity supply and demand;  2. Analyse the social, behavioural and technical drivers and implications of electricity users' responses to incentives on the demand side and how to integrate these responses into electricity systems;  3. Undertake techno-economic modelling and energy and environmental assessments of the developments in electricity supply (including transmission and distribution networks) needed to meet this responsive demand.  Climate change has contributed to a rise of both policy and research debates, within the UK and internationally. These six leadership fellows propose innovative approaches and application of leading edge social science to addressing key research issues in mitigating and/or adapting to climate change. The fellowships are intended to complement existing initiatives in the field, and will form an important further step in mobilising leading UK expertise to respond readily to the social science research challenges raised by climate change.  The six fellowships are:  • Dr Harriet Bulkeley, Durham	£1,540,000	2008 - 2012	£385,000



Funding Stream	Funding Agency	Description	Committed Funds	Period	Representative Annual Spend
		University - Urban Transitions: climate change, global cities and the transformation of socio- technical systems  • Prof Peter Newell, University of East Anglia - The Governance of Clean Development: CDM and Beyond  • Prof Simon Caney, University of Oxford - Equity and Climate Change  • Dr Karen Turner, University of Strathclyde - Investigating the pollution content of trade flows and the importance of 'environmental trade balances' in addressing the problems of climate change  • Prof Nick Pidgeon, Cardiff University - Risk Perception, Climate Change and Public Engagement  • Prof Elizabeth Shove, Lancaster University - Transitions in practice: climate change and everyday life			
Climate Change & Social Justice	Joseph Rowntree Foundation	The Joseph Rowntree Foundation is one of the largest social policy research and development charities in the UK, spending about £10 million a year on a research and development programme that seeks to better understand the causes of social difficulties and explore ways of overcoming them.  The Joseph Rowntree Foundation Climate Change and Social Justice programme supports the development of socially just responses to climate change in the UK.	£914,000	2009- 2011	£457,000



Funding Stream	Funding Agency	Description	Committed Funds	Period	Representative Annual Spend
		There are currently two calls under this programme:  • A socially just transition to a low carbon economy and society  • Just adaptation responses to climate change in the UK			
Energy, Equity and Security	EPSRC & ESRC	The research programme supported research that examined how we might be able to develop both an equitable and secure energy system in the UK.  Two projects were funded through it:  Britain's Energy Security in a Multipolar World	£900,000	2009 - 2012	£300,000
		Interdisciplinary Cluster on Energy     Systems, Equity and Vulnerability     (InCluESEV)			
Leverhulme Trust	-	The Leverhume Trust, makes awards in all fields for the support of research and education, putting special weight on: originality; significance; the ability to judge and take appropriate risk in the project; and the removal of barriers between traditional disciplines.	n/a	on- going	£60 million across all its activities (i.e. not just energy related research)
		The Trust presents a potential important source of funding for socio-economic research. For instance, between 2007 - 2011 approximately £1m was awarded to support the Energy futures and risk: exploring public perceptions project being led by Cardiff University, and supported by Leeds and UEA. The project explores risk attitudes and behaviour in relation to climate change and energy choices, as			



Funding Stream	Funding Agency	Description	Committed Funds	Period	Representative Annual Spend
		about this critical environmental challenge.			
Sustainable Lifestyles Research Group (SLRG)	Defra, ESRC & Scottish Government	The Sustainable Lifestyles Research Group (SLRG) is funded by the Department for the Environment, Food and Rural Affairs (DEFRA), the Economics and Social Research Council (ESRC) and the Scottish Government. Its aim is to develop an integrated understanding of the complex relationships between people's lifestyles and practices and sustainability. Specific objectives are:	n/a	2010 - 2013	
		<ul> <li>to develop new and relevant understandings of the processes which lead to changes in people's behaviours and practices;</li> <li>to build a sound conceptual basis for understanding and influencing change processes aimed at sustainable living;</li> <li>to provide advice on realistic strategies to enable more sustainable lifestyles</li> </ul>			
		This research centre has continued the work undertaken by the Research Group on Lifestyles Values and Environment (RESOLVE).			
Ashden Trust		The Ashden Trust is a grant-making charity established in 1989 and is one of the Sainsbury Family Charitable Trusts. We primarily support programmes which have a focus on climate change, sustainable development or on improving the quality of life in poorer communities.	n/a	on- going	£1,000,000



Funding Stream	Funding Agency	Description	Committed Funds	Period	Representative Annual Spend
		The trust's long-term focus on climate change, sustainable development and improving the quality of life in poorer communities allows us to explore a range of ways to tackle these enormous challenges under six category headings:			•
		<ul> <li>Sustainable Development International</li> <li>Sustainable Development UK</li> <li>Sustainable Regeneration</li> <li>People at Risk</li> <li>Arts and Sustainability</li> <li>Social Investment Fund</li> <li>Low Carbon Fund</li> </ul>			
Esmee Fairbairn Foundation	-	The Esmée Fairbairn Foundation is one of the largest independent grant making foundations in the UK. It makes grants to organisations which aim to improve the quality of life for people and communities in the UK, both now and in the future. It has an Environment Programme which includes "the low carbon economy" as a major theme. This aims to stimulate changes in policy, planning and practice that will support the achievement of a low carbon economy and lessen the detrimental effects of greenhouse gas emissions.	n/a	on- going	£5,100,000 across their Environment strand, which includes non- energy related projects
		The Environment programme wishes to promote environmental improvements which balance the needs of people and wildlife. Integral to this is:  • countryside which is rich in diverse			



Funding Stream	Funding Agency	Description	Committed Funds	Period	Representative Annual Spend
		<ul> <li>a reduction in carbon emissions, together with well-planned, sustainable urban and rural developments, offering a good quality of life to all</li> <li>a UK food industry supplying a wide range of high quality, sustainably produced goods, which are predominantly made locally.</li> </ul>			
		Within the broad objectives stated above, the programme supports three interrelated themes: UK Biodiversity, a Low Carbon Economy and Sustainable Food Systems.			

**Table 3.2: Key Research Providers** 

Name	Description	Sub-topics covered	No of staff	Field
Institute for Sustainable Energy and the Environment, University of Bath	The mission of I-SEE is to focus, integrate and enhance fundamental and applied research on sustainable energy and the environment. It will seek to enhance both the University's effectiveness at meeting this agenda, and its national and international reputation as a research institute focussed on major real-world issues. I-SEE engages with 13 Departments/Schools and 7 research centres across the University bringing together experts from the fields of engineering, technology, physical sciences, social sciences and economics.	<ul> <li>Climate change and its mitigation</li> <li>Energy efficiency improvement and demand reduction</li> <li>Future energy sources and energy storage</li> <li>Energy generation, transmission and distribution</li> <li>Technology assessment of energy systems</li> <li>Low carbon transportation and advanced / alternative fuels</li> <li>Environmental sustainability</li> </ul>	3 executive members and 3 board members	Economics and Econometrics Geography & Environmental Sciences



Name	Description	Sub-topics covered	No of staff	Field
		<ul> <li>Effective policy &amp; practice around sustainable behaviour</li> <li>Well-being, capability and resilience in communities</li> <li>The economics and ethics of sustainability</li> <li>Next generation</li> <li>Enabling research and technology transfer</li> </ul>		
Energy at Birmingham  University of Birmingham	Energy at Birmingham is a vibrant community of more than 100 academics across seventeen Schools and four Colleges researching in energy fields, as well as hundreds of research fellows, doctoral researchers and taught students. The group collaborates with industrialists and institutions across the world. Birmingham has the critical mass and connections for students and academics to thrive in our intense Energy research environment.  They are partners in the Midland Energy Consortium with the Universities of Nottingham and Loughborough, and a founding partner	Energy Policy & Society – covers Bioenergy, Biohydrogen, Distribution, Energy & the Environment, Energy in Manufacturing, Hydrogen, Materials, Nuclear, Oil & Gas, Policy & Society, Transport	n/a	<ul> <li>Business and Management</li> <li>Economics</li> <li>Engineering Geography &amp; the Built Environment</li> <li>Politics and International Studies</li> </ul>
Electricity policy Research Group University of Cambridge		<ul> <li>Regulation and Markets</li> <li>Technology and Innovation</li> <li>Governance and Politics</li> <li>Climate Change Policy</li> </ul>	1 Director, 10 researchers, 45 Associate researchers and 13 PhD students	<ul> <li>Economics and Econometrics</li> <li>Engineering &amp; Technology</li> <li>Politics and International Studies</li> </ul>

Name	Description	Sub-topics covered	No of staff	Field
	expertise in economics, technology policy and political science. Their core research discipline is economics, within a framework that encourages collaboration between experts from different academic traditions, drawing on insights from engineering, political science and law.  Via EPRG's Energy Policy Forum (EPF), the group offers rigorous independent research output that informs public and private sector decision making in the electricity and energy industry.			
University of Cardiff	The Understanding Risk Group is an interdisciplinary social sciences (psychology, sociology and technology studies, geography) research unit focusing on the impacts upon individuals and communities, and acceptability to people, of environmental and technological risk within everyday life.	<ul> <li>Psychology of climate change;</li> <li>Public attitudes towards and acceptability of energy supply systems;</li> <li>Sustainable behaviour change and energy demand reduction;</li> <li>Social conflicts and siting of large scale energy technologies;</li> <li>Risk perception, communication and public engagement</li> </ul>	20 researchers, 9 external collaborators, 5 PhD students	<ul> <li>Geography &amp; Environmental Studies</li> <li>Psychology</li> <li>Sociology</li> </ul>

Name	Description	Sub-topics covered	No of staff	Field
The Centre for Energy, Petroleum and Mineral Law and Policy,  University of Dundee	The Centre for Energy, Petroleum and Mineral Law and Policy at the University of Dundee is the internationally renowned graduate school in the field of international business transactions and natural resources and energy law and policy.  The centre's interdisciplinary approach to teaching, research and consultancy provides a unique perspective on how governments, business and communities operate, providing the professionals of today with the ability to meet the challenges of tomorrow.  Stimulated by oil and gas developments in the North Sea, the Centre was established in May 1977.	<ul> <li>Energy and Investment</li> <li>Energy, Natural         Resources and the         International Trading         System</li> <li>Energy and         Sustainability: New         Technologies, New         Markets and         Environmental Risks</li> <li>Energy and Natural         Resources Security</li> <li>Governance of the         Extractive Industries</li> <li>Economics of Energy &amp;         Natural Resources</li> </ul>	20 academic and research staff, 17 PhD students	Business and management studies     Economics and econometrics     Law     Politics and international studies
Durham Energy Institute  University of Durham	The Durham Energy Institute tackles the societal aspects of energy technology development and use. This is increasingly recognised as an important aspect of energy research, which has previously been overlooked.  The Durham Energy Institute draws upon its existing considerable knowledge base, skills and expertise to promote technical scientific excellence in energy science, as well as solve technological-social problems associated with energy provision, demand and use  The institute aspires to initiate the behavioural step change required for society to realise a low carbon future;	<ul> <li>Society and Energy</li> <li>Business, Economics and Law</li> <li>Low Carbon Transitions</li> <li>Risk and Resilience</li> <li>Methodologies</li> <li>Critical Perspectives</li> <li>Energy for Development</li> </ul>	15 researchers, 23 PhD students	<ul> <li>Business &amp; Management Studies</li> <li>Economics &amp; Econometrics</li> <li>Geography &amp; Environmental Studies</li> <li>Law</li> <li>Sociology</li> </ul>

Name	Description	Sub-topics covered	No of staff	Field
	in the process establishing DEI as an internationally leading institution, which is recognised worldwide as a centre for integrating energy science with society.			
3S: Science, Society and Sustainability  University of East Anglia	3S are a group of faculty, researchers and postgraduate students taking forward critical social science approaches to researching the social and political dimensions of environment and sustainability issues.  The overall aim of the group is to conduct world-leading research that better understands, and can potentially transform, relations between science, policy and society in responding to the unprecedented sustainability challenges facing our world.  Their approach is:  • interdisciplinary, working at the interface between science and technology studies, human geography and political science, as well as linking with the natural sciences and humanities;  • engaged, working collaboratively with publics, communities, civil society organisations, government and business; and  • reflexive, through being theoretically informed, selfaware and constructively	<ul> <li>Knowledges and Expertise</li> <li>Participation and Engagement</li> <li>Science, Policy and Governance</li> <li>Transitions to Sustainability</li> <li>Sustainable Consumption</li> </ul>	8 faculty members, 8 research staff, 3 associates, 14 PhD students	<ul> <li>Development Studies</li> <li>Geography and Environmental Studies</li> <li>Law</li> <li>Psychology</li> <li>Politics and International Studies</li> <li>Social Sciences</li> </ul>

Name	Description	Sub-topics covered	No of staff	Field
	critical.			
Energy Policy Group, University of Exeter	The Energy Policy Group at the University of Exeter provides an academic hub for the interdisciplinary study of energy policy and sustainability, specialising in the transition from the current unsustainable energy systems to sustainable ones providing clean energy for all.  Research carried out by the group is interdisciplinary and collaborative, both within the University and with outside organisations. The group provide objective research, analysis and policy advice to policy makers, industry, NGOs, and the public. The research work of the group is funded by grants from UK research councils and also through consultancy with national and international stakeholders.	<ul> <li>Energy Innovation</li> <li>Energy Governance</li> <li>Energy Security</li> <li>Energy Infrastructure and Supply</li> <li>Impact of economic regulation and market arrangements on the development of a sustainable electricity network</li> </ul>	1 Director, 6 academic staff, 14 associates and 8 PhD Students	Economics and Econometrics     Geography and Environmental Sciences     Politics and International Studies     Social Sciences
Energy Futures Lab  Imperial College	with facilitating the move towards a more secure energy supply in the future. To achieve this aim, the centre provides a focal point for multidisciplinary research across Imperial College London by facilitating and funding energy related research that brings together the university's different departments.	<ul> <li>Sustainable Power</li> <li>Clean Fossil Fuels</li> <li>Low Carbon Transport</li> <li>Energy Infrastructure</li> <li>Policy and Innovation</li> </ul>	n/a	<ul> <li>Geography and Environmental sciences</li> <li>Economics &amp; Econometrics</li> <li>Engineering &amp; Technology</li> </ul>
Grantham Institute for Climate Change		Risks, extremes and irreversible change	3 directors, 5 policy team members, 20	Economics and econometrics

Name	Description	Sub-topics covered	No of staff	Field
Imperial College	climate change related research, translating this into impacts and communicating our knowledge to help shape decision-making. The Institute is already integrating researchers and capabilities from all areas of Imperial College with the skills necessary to tackle the challenges of climate change, through which we will work to offer practical scientific and technical knowledge of the highest quality.	Sustainable futures	PhD students (specific to socio- economic theme)	<ul> <li>Chemistry</li> <li>Politics and International studies</li> <li>Applied Mathematics</li> <li>Social Sciences</li> </ul>
Imperial College Centre for Energy Policy and Technology,  Imperial College London	ICEPT provides nationally & internationally recognised interdisciplinary research, policy advice and postgraduate training, specialising in the interface between technology and policy. They provide objective research, analysis and policy advice to governments, industry, NGOs, and other stakeholders.	<ul> <li>Biomass &amp; Bioenergy</li> <li>Renewable Energy &amp; Low Carbon Generation</li> <li>Energy in Developing Countries</li> <li>Infrastructure, Vectors &amp; Alternative Fuels</li> <li>Markets, Policy &amp; Systems Transitions</li> <li>UKERC Technology &amp; Policy Assessment</li> <li>Research Councils UK Energy Programme Strategy Fellowship</li> </ul>	3 directors, 10 senior research staff, 13 research associates/assistants, 20 visiting staff and 13 PhD researchers	<ul> <li>Economics and Econometrics</li> <li>Geography and Environmental Sciences</li> <li>Law</li> <li>Social Sciences</li> </ul>
The Centre for the Study of Environmental Change,  Lancaster University	CSEC is an interdisciplinary centre, now based in Lancaster's Department of Sociology, which exists to develop a fuller understanding of the social, political and cultural dimensions of debates around environment and new technologies, and of their implications for public policy and society.	<ul> <li>Risk, environment and modernity</li> <li>Climate change – modelling, uncertainty and policy</li> <li>Public perceptions of the disposal of nuclear waste</li> <li>New Social Movements and environmental politics</li> <li>Participation in technological innovation</li> <li>The valuation of nature</li> </ul>	4 academic staff and 3 PhD	<ul> <li>Geography and Environmental Sciences</li> <li>Politics</li> <li>Sociology</li> <li>Social Sciences</li> </ul>

Name	Description	Sub-topics covered	No of staff	Field
The Society and Environment research theme,  The Lancaster Environment Centre, Lancaster University	The Society and Environment research theme is focused on the interdisciplinary investigation and critical analysis of contemporary social and environmental challenges.  The work of the group is shaped by theoretical interests in  • knowledge, expertise and governance; • space, scale, time and sociospatial relations; • everyday practice, resilience and systemic socio-technical change	<ul> <li>Climate change mitigation and the social and political dimensions of transitions in energy and transport systems and in patterns of consumption</li> <li>Geographies of everyday life and how these relate to changing identities, mobilities and consumption practices over the life course</li> <li>Processes of globalization, the dynamics of change and their implications for flows of people, commodities, resources and knowledges; global production networks</li> </ul>	5 staff working on socio-economic energy related projects	Geography and Environmental Studies     Sociology
Sustainability Research Institute University of Leeds	The Sustainability Research Institute conducts internationally recognised, academically excellent and problemoriented interdisciplinary research and teaching on environmental, social and economic aspects of sustainability.  The institute draws on various social and natural science disciplines, including ecological economics, environmental economics, political science, policy studies, development studies, business and management, geography, sociology, science & technology studies and environmental sciences	<ul> <li>Business and organisations for sustainable societies</li> <li>Economics and policy for sustainability</li> <li>Environmental change and sustainable development</li> <li>Social and political dimensions of sustainability</li> <li>Energy system change and transitions</li> </ul>	15 research staff working on energy related research	<ul> <li>Business and Management Studies</li> <li>Economics and Econometrics</li> <li>Geography &amp; Environmental Sciences</li> <li>Politics and International Studies</li> <li>Sociology</li> </ul>

Name	Description	Sub-topics covered	No of staff	Field
Centre for Integrated Energy Research, University of Leeds	The Centre for Integrated Energy Research was initiated in October 2010, through a substantial investment by the University of Leeds' Transformation Fund. The Centre is designed to draw together expertise of around 50 leading researchers, from across the engineering, design, social and behavioural sciences. Researchers at the University of Leeds are always welcome to join and contribute to this vibrant community.  Through world-class research integrating technological, economics and policy, and socio-technical aspects of energy, the Centre aims to deliver key tools needed to enable, enhance and accelerate transition to low carbon, secure, economically viable and socially equitable energy systems at national, European and global levels.  CIER's mission is to integrate energy science and technology with energy economics and policy to enable and support UK industry, and society more broadly, to achieve national, European and future global energy targets.	<ul> <li>Energy and Cities</li> <li>Energy and Transport</li> <li>Energy and Information and Communication Technologies</li> <li>Energy Demand Reduction and Management</li> <li>Energy Storage</li> <li>The Energy-Water Interface</li> <li>Public Perceptions of Energy and the Energy System Socio-technical Perspectives and Energy Transitions</li> </ul>	14 staff	<ul> <li>Economics &amp; Econometrics</li> <li>Engineering &amp; Technology</li> <li>Geography &amp; environmental studies</li> <li>Politics &amp; International Studies</li> <li>Sociology</li> </ul>

Name	Description	Sub-topics covered	No of staff	Field
The Grantham Research Institute on Climate Change and the Environment  London School of Economics	The mission of the Grantham Research Institute on Climate Change and the Environment is to be a world-leading centre for policy-relevant research, teaching and training in climate change and the environment.  The Institute's vision is a world in which climate change and other global environmental challenges are managed effectively to promote prosperity and well-being.  The purpose of the Institute is:  • increase knowledge and understanding by performing world-class research on climate change and the environment;  • promote better informed decision-making about climate change and the environment by engaging with a wide range of key audiences around the world; and  • educate and train new generations of researchers through our undergraduate and postgraduate programmes.	Global response strategies; Green growth; Practical aspects of climate policy;  Global response strategies; Green growth;  Practical aspects of climate policy;	1 chair, 3 directors, 25 researchers, 19 visiting staff, 36 PhD students  (NOTE: some individuals may not work specifically on socio-economic research)	Economics & Econometrics     Finance     Geography & Environmental Studies     Politics and International Studies     Development Studies
Sustainable Consumption Institute University of Manchester	The Sustainable Consumption Institute (SCI) examines issues related to environmental sustainability through the lens of consumption. A key current focus is on climate change; it is imperative that international, national and company climate change	<ul> <li>Sustainable Consumer Behaviours and Lifestyles;</li> <li>Stimulating Eco- Innovation for Sustainable Production and Distribution;</li> </ul>	19 full-time, researchers 23 part- time associated staff or research assistants; 23 postgraduate students	<ul> <li>Geography and Environmental Sciences</li> <li>Sociology</li> <li>Psychology</li> </ul>



Name	Description	Sub-topics covered	No of staff	Field
Energy and Environment Research Unit  The Open University	strategies and targets are grounded in climate science. The challenge facing society means that we cannot rely only on technology based solutions to combat climate change and move to a low carbon based economy; it will be vital to also change patterns of consumption and production.  The Energy and Environment Research Unit (EERU) was set up in 1986 to coordinate research on sustainable energy technology and to support the development of environmentally sound approaches to the generation and use of energy. Broadly the unit aims to:  • to advance and disseminate knowledge of energy systems and their interactions with the biosphere, with particular emphasis on those systems which enable the energy needs of society to be met sustainably; • to promote interdisciplinary research in fields related to energy and the environment.	<ul> <li>Climate Change and Carbon: Mitigation, Adaptation and Vulnerability.</li> <li>Sustainable Cities</li> <li>Ecosystem Services</li> <li>Energy system change and transitions</li> <li>why we use energy</li> <li>hoe efficiency can be improved without loss in quality of energy derived utilities</li> <li>physical, technological, economic, social and environmental aspects of energy supply systems</li> <li>design, develop and assess specific devices and systems for improved energy efficiency and renewable energy supply;</li> <li>interactions between energy use, human society and the biosphere via modelling</li> <li>energy policy assessment</li> </ul>	12 research staff and 5 post-graduate students	<ul> <li>Geography and Environmental Studies</li> <li>Mathematics</li> <li>Politics and International Studies</li> <li>Sociology</li> </ul>
Environmental Change Institute University of Oxford	The ECI was founded 20 years ago with a mission "to organize and promote interdisciplinary research on the nature, causes and impact of environmental change and to contribute to the development of management strategies for coping with future environmental change", a statement that still embodies the ECI's	<ul> <li>Energy, Behaviour and Society</li> <li>Energy in Buildings</li> <li>Energy and Transport</li> <li>Infrastructure and Smart Grids</li> <li>Policy, Equity, Security and Fuel Poverty</li> <li>Renewable Energy</li> </ul>	16 Research Staff, 1 visiting fellow, 1 emeritus staff and 3 PhD students	<ul> <li>Architecture &amp; Built         Environment</li> <li>Economics &amp; Econometrics</li> <li>Geography and Environmental Studies</li> <li>Politics and</li> </ul>



Name	Description	Sub-topics covered	No of staff	Field
	ethos of purposeful environmental research and knowledge exchange.  Its Lower Carbon Futures programme is interdisciplinary, with researchers from many backgrounds who are all committed to extending our knowledge of energy systems and developing practical ways of reducing their environmental impact.			International Studies
Environment Group,  Policy Studies Institute	The Environment Group at PSI is an interdisciplinary research group which operates at the interface between policy and research. The Group's aim is to inform progress towards a more sustainable future, through the provision of robust, independent, policy-focused research.  The Group conducts applied research to analyse, investigate and understand:  • how a transition to environmentally sustainable development might come about;  • the environmental policies at all levels of government, and in other institutions, which might help to bring it about;  • the roles of science and technology and behaviours and attitudes in helping to bring about environmentally sustainable development;  • the linkages between	<ul> <li>Innovation and sustainability;</li> <li>Climate change impacts and adaptation – focusing on social justice issues;</li> <li>Research translation and the evaluation of research impacts on environmental policy;</li> <li>Understanding, influencing and communicating sustainable behaviours;</li> <li>Energy-environment-economy modelling and policy;</li> <li>Environmental policy and economic performance;</li> </ul>	10 Staff	Economics & Econometrics     Geography & Environmental Sciences     Politics and International Studies     Policy and regulation     Social Studies

Name	Description	Sub-topics covered	No of staff	Field
SURF - The Centre	economic and social concerns which need to be taken into account if the environmental policies are to be both implemented and effective.  SURF's work cuts across the themes of	Governance	6 research staff, 2	Architecture &
for Sustainable Urban and Regional Futures University of Salford	governance, knowledge and innovation and environment and energy to consider the relationships between cities, regions and sustainable knowledge-based development. Its aim is to generate understanding about how political, economic, social, technological and environmental changes interact to affect urban and regional futures.	<ul> <li>Knowledge and Innovation</li> <li>Environment and Energy</li> </ul>	visiting staff, 2 PhD students	Built Environment Geography & Environmental Sciences Social Sciences Town and Country Planning
Centre for Environmental Strategy,  University of Surrey	The Centre for Environmental Strategy (CES) is an internationally-acclaimed centre of excellence on sustainable development. It takes an interdisciplinary approach to the analysis of sustainable systems, integrating strong, engineering based approaches with insights from the social sciences to develop action-oriented, policy relevant responses to long-term environmental and social issues.	<ul> <li>Sustainable Systems:         Tools for Analysis and         Design</li> <li>Social and Economic         Research on         Sustainability: Developing         Concepts and Themes</li> <li>Policy, Strategy and         Governance</li> </ul>	research/academic staff, 10 visiting staff, 2 emeritus staff and 27 post-graduate students	<ul> <li>Architecture &amp; Built Environment</li> <li>Economics &amp; Econometrics</li> <li>Engineering &amp; Technology</li> <li>Geography and Environmental Studies</li> <li>Politics and International Studies</li> <li>Social Studies</li> </ul>
Surrey Energy Economics Centre (SEEC) University of Surrey	SEEC undertakes original energy economics research and since being established it has produced papers across the whole spectrum of energy economics, including the international oil and gas market, North Sea oil & gas, energy efficiency, UK &	<ul> <li>Econometric studies of energy demand in developed and less developed countries</li> <li>Energy intensity and energy efficiency Energy Policy</li> </ul>	6 research staff and 4 Master/PhD students	<ul> <li>Econometrics and Economics</li> <li>Law</li> <li>Mathematics</li> <li>Politics and International studies</li> </ul>

Name	Description	Sub-topics covered	No of staff	Field	
	international coal, gas privatisation & regulation, electricity privatisation & regulation, measurement of efficiency in energy industries, energy & development, energy demand modelling & forecasting, and energy & the environment.	<ul> <li>Energy security</li> <li>Energy scenarios</li> <li>Energy taxes</li> <li>Efficiency studies of energy industries</li> <li>Oil industry</li> <li>Oil price modelling and forecasting</li> <li>Petroleum fiscal regimes</li> <li>Regulation and economics of utility markets</li> <li>Road transport fuel demand and the rebound effect</li> <li>Traffic generation and land use in the UK</li> <li>Transport choices and lifestyle effects</li> </ul>			
Sussex Energy Group, SPRU, University of Sussex	The Sussex Energy Group undertakes academically rigorous, interdisciplinary research that engages with policy-makers and practitioners. The aim of its research is to identify ways of achieving the transition to sustainable, low carbon energy systems whilst addressing other important policy objectives such as energy security. The Group has funding from a diverse array of sources. It is core partner in the Tyndall Centre for Climate Change Research and part of the UK Energy Research Centre.	<ul> <li>Transitions to Sustainable energy systems</li> <li>Governance of sustainable energy systems</li> <li>Strategic appraisal for sustainable energy systems</li> </ul>	18 staff, 4 visiting fellows, 10 PhD students,	<ul> <li>Business &amp; Management Studies</li> <li>Economics and Econometrics</li> <li>Politics and International Studies</li> <li>Sociology</li> <li>Social Studies</li> </ul>	
Tyndall Centre Universities of Manchester and East Anglia	The Tyndall Energy Theme brings together natural and physical scientists, social scientists, engineers and economists to conduct interdisciplinary and policy relevant	<ul> <li>Energy assessments</li> <li>Energy behaviours</li> <li>Energy perceptions</li> <li>Energy scenarios and pathways</li> </ul>	Around 81 researchers across 7 universities	<ul> <li>Development Studies</li> <li>Engineering and Technology</li> <li>Geography &amp;</li> </ul>	

Name	Description	Sub-topics covered	No of staff	Field
	research. Its research is funded through a diverse range of sources, and the centre contributes regularly to parliamentary processes, government consultations, and the media, as well as the academic literature.	<ul> <li>Energy transitions</li> <li>Energy governance</li> <li>Low carbon growth and technology transfer</li> <li>The Governance of Clean Development: CDM and Beyond</li> </ul>		Environmental Sciences Politics and International Studies Social Sciences
UCL Energy Institute University College London	The UCL Energy Institute brings together different perspectives, understandings and procedures in energy research, transcending the boundaries between academic disciplines. Whilst the Institute has its own core activity it also acts as an umbrella for energy-related research at UCL, bringing together leading researchers on different topics. This enables UCL to draw on all its disciplines to address the energy challenge.  The Institute has a core research, teaching and enterprise activity and also acts as an umbrella for energy research across the college. It has its own multidisciplinary team of researchers and students and also coordinates teams from across the University, providing critical mass and capacity for ambitious projects.  Core researchers at the UCL Energy Institute carry out world-leading research in the fields of buildings, energy systems, people and energy, policy and law, smart energy and transport. These research themes are	<ul> <li>Buildings</li> <li>Energy Systems</li> <li>People &amp; Energy</li> <li>Policy &amp; Law</li> <li>Smart Energy</li> <li>Transport</li> </ul>	45 research staff; 37 MPhil/PhD students; 26 affiliates and associates  (NOTE: some individuals may not work specifically on socio-economic research)	<ul> <li>Architecture &amp; Built Environment</li> <li>Economics and Econometrics</li> <li>Engineering &amp; Technology</li> <li>Geography &amp; Environmental Sciences</li> <li>Law</li> <li>Mathematics</li> <li>Politics and International Studies</li> </ul>

Name	Description	Sub-topics covered	No of staff	Field
	not mutually exclusive, and many researchers work across two or more themes, ensuring a truly interdisciplinary approach to energy research.			
Stockholm Environment Institute University of York	SEI is an independent international research institute. They have been engaged in environment and development issues at local, national, regional and global policy levels for more than 20 years.  SEI was formally established in 1989 by the Swedish Government and celebrated its 20th anniversary in October 2009. The Institute has established a reputation for rigorous and objective scientific analysis in the field of environment and development.  Their goal is to bring about change for sustainable development by bridging science and policy. They do this by providing integrated analysis that supports decision makers.	<ul> <li>Managing Environmental Systems</li> <li>Reducing Climate Risk</li> <li>Transforming Governance</li> <li>Rethinking Development</li> </ul>	38 staff	Development     Studies     Geography and     Environment     Studies     Politics and     International     Studies



## 4. Applied research

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Unlike more traditional energy research that deals with the development of energy technologies, socio-economic research examines non-technical phenomena. Consequently, applied research in this context takes on a different meaning because these social phenomena are characteristically distinct to technical components and therefore do not follow the same passage through the early Technology Readiness Levels (TRLs), i.e. a) basic technology research; b) research to prove its feasibility; c) technology development and d) technology demonstration. In this context, applied research can be understood to refer to research that serves to integrate many of the theoretical and empirical insights gained from university-led research into more 'real-world' applications. This may for instance relate to drawing upon university research to inform the design of government policy, business strategy or city planning. In essence, applied socio-economic research can be understood as the application of socio-economic insights into real-world contexts.

A significant amount of socio-economic energy research is undertaken outside the universities through a combination of consultancies (e.g. McKinsey & Company, Pöyry Energy Ltd), think-tanks (e.g. Forum for the Future), independent research organisations (e.g. The Centre for Sustainable Energy) and government bodies (e.g. DECC's Energy Efficiency Deployment Office). These organisations play a critical role in ensuring that key insights from 'basic principles' socio-economic research are integrated into the fabric of our energy system.

There are important links between these organisations and university research. The most policy-oriented university research groups (e.g. <u>ICEPT</u>; <u>UCL Energy Institute</u>) both collaborate and

compete with consultancy companies for individual research contracts from industry, government departments and the European Commission. Also, many individuals and groups within universities are researching similar topics to the independent organisations, leading to an exchange of information and research results.

Last Updated: 21 June 2013

Whilst the majority of this research is either funded by the organisations undertaking the research or by their clients, a handful of funding schemes have also been made available to support this more applied, socio-economic research stream. The most notable schemes are supported by Government agencies such as the Department for Energy & Climate Change (DECC), Department of Business, Innovation & Skills (BIS) and Office for the Electricity & Gas Market (Ofgem), as well as quasi-government organisations such as the Energy Technologies Institute (ETI).

The most important funding development in recent years that is likely to have an important impact upon applied socio-economic research has been the establishment of Ofgem's new funding regime 'Revenue = Incentives + Innovation + Outputs' (RIOO). This regime will make a significant amount of funds available from 2015 for energy infrastructure oriented applied research. Although the details of this are still being developed, it is thought a proportion of these funds will be available for socio-economic research that will facilitate the rollout of initiatives with demonstrable and cost effective low carbon and environmental benefits.



**Table 4.1: Research Funding** 

Programme	Funding Agency	Description	Committed Funds	Period	Typical Annual Spend
Innovation funding for low-carbon technologies	DECC & BIS	In 2010, following the Spending review, the UK government committed £200m a year by 2014-15 to support manufacturing and business development. There was a specific focus on supporting potential high growth companies and the commercialisation of technologies. Furthermore, funding was allocated for an elite network of Research and Development intensive technology and innovation centres.  Subsequently, DECC has released a number of open competitions to support energy innovation, which have spanned nearly all aspects of the energy system. Whilst these competitions have incorporated a very strong focus on supporting the uptake of innovative energy technologies, nearly all successful bids are likely to have to marry both technological expertise, with socioeconomic insights that will inform the manner in which these technologies are further developed and in turn, commercialised/implemented.  The programmes are as follows:  • £20 million for the development of innovative carbon capture and storage (CCS) technologies • Up to £15 million to support power generation technologies through the Energy Entrepreneurs Fund, which with £20 million from the Buildings Programme allocation brings the total budget for the Energy Entrepreneurs Fund to £35 million		2010 - 2015	£200m



Programme	Funding Agency	Description	Committed Funds	Period	Typical Annual Spend
		Array Demonstrator (MEAD) Capital Grant scheme, which will support the deployment of the first arrays of wave and/or tidal stream energy devices  • up to £35 million for the Buildings Innovation programme, which is designed to drive down the costs of saving energy and reducing carbon emissions in homes and businesses, including:  • up to £20 million for buildings technologies supported through the Energy Entrepreneurs Fund  • up to £10 million for the non-domestic building improvements scheme, 'Invest in Innovative refurb'  • up to £3 million for a Small Business Research Initiative (SRBI) competition on advanced heat storage and £2.8 million to trial thermal (hot-water) storage integrated with heat pumps in domestic buildings  • up to £30 million for the Offshore Wind Component Technologies Development and Demonstration scheme  • up to £2 million for the development and demonstration of a bioenergy process that optimises wetland management activities and utilises the biomass arisings  • part of an up to 8 million euro collaboration with the Biotechnology and Biological Sciences Research Council (BBSRC) and the Technology Strategy Board (TSB) to form a European consortium aiming to encourage bioenergy generation through a call entitled 'Bioenergy Sustaining the Future'			



				Annual Spend
	<ul> <li>(BESTF)</li> <li>part of an up to £15 million collaboration with TSB, Nuclear Decommissioning Authority (NDA) and the Engineering and Physical Sciences Research Council (EPSRC) on the civil nuclear supply chain</li> <li>up to £60 million for the development of offshore wind manufacturing at port sites</li> </ul>			
TI	This in-depth project has been looking at the potential long-term performance and cost of plug-in vehicles, as well as consumer reactions and behaviours in buying and using them. It has explored supporting infrastructure, and has included in-depth surveys with 3,000 consumers and real-world testing with 40 drivers. The project was the first of three projects in the Plug-in Vehicle Economics and infrastructure focus area.	£4.5 million between three transport electrification projects	2010 - 2011	
<u>TI</u>	The ETI project will lead to a comprehensive behavioural study involving householders focused on heat and energy consumption in the UK. The study – which will involve thousands of householders - is part of the ETI's £100 million Smart Systems and Heat technology programme. The aim is to design and demonstrate the first of its kind smart energy system in the UK.  The research will provide insight into consumer requirements for heat and energy. The project is needed to help answer questions as to how consumer demand for energy and heat (in particular space heating and hot water) can best be met.	£3 million	2012 - 2014	
		Physical Sciences Research Council (EPSRC) on the civil nuclear supply chain  up to £60 million for the development of offshore wind manufacturing at port sites  This in-depth project has been looking at the potential long-term performance and cost of plug-in vehicles, as well as consumer reactions and behaviours in buying and using them. It has explored supporting infrastructure, and has included in-depth surveys with 3,000 consumers and real-world testing with 40 drivers. The project was the first of three projects in the Plug-in Vehicle Economics and infrastructure focus area.  The ETI project will lead to a comprehensive behavioural study involving householders focused on heat and energy consumption in the UK. The study – which will involve thousands of householders - is part of the ETI's £100 million Smart Systems and Heat technology programme. The aim is to design and demonstrate the first of its kind smart energy system in the UK.  The research will provide insight into consumer requirements for heat and energy. The project is needed to help answer questions as to how consumer demand for energy and heat (in particular space heating and hot water) can best	Physical Sciences Research Council (EPSRC) on the civil nuclear supply chain  • up to £60 million for the development of offshore wind manufacturing at port sites  This in-depth project has been looking at the potential long-term performance and cost of plug-in vehicles, as well as consumer reactions and behaviours in buying and using them. It has explored supporting infrastructure, and has included in-depth surveys with 3,000 consumers and real-world testing with 40 drivers. The project was the first of three projects in the Plug-in Vehicle Economics and infrastructure focus area.  The ETI project will lead to a comprehensive behavioural study involving householders focused on heat and energy consumption in the UK. The study – which will involve thousands of householders - is part of the ETI's £100 million Smart Systems and Heat technology programme. The aim is to design and demonstrate the first of its kind smart energy system in the UK.  The research will provide insight into consumer requirements for heat and energy. The project is needed to help answer questions as to how consumer demand for energy and heat (in particular space heating and hot water) can best be met.  The solutions developed by the project will	Physical Sciences Research Council (EPSRC) on the civil nuclear supply chain • up to £60 million for the development of offshore wind manufacturing at port sites  This in-depth project has been looking at the potential long-term performance and cost of plug-in vehicles, as well as consumer reactions and behaviours in buying and using them. It has explored supporting infrastructure, and has included in-depth surveys with 3,000 consumers and real-world testing with 40 drivers. The project was the first of three projects in the Plug-in Vehicle Economics and infrastructure focus area.  The ETT project will lead to a comprehensive behavioural study involving householders focused on heat and energy consumption in the UK. The study – which will involve thousands of householders – is part of the ETT's £100 million Smart Systems and Heat technology programme. The aim is to design and demonstrate the first of its kind smart energy system in the UK.  The research will provide insight into consumer requirements for heat and energy. The project is needed to help answer questions as to how consumer demand for energy and heat (in particular space heating and hot water) can best be met.  The solutions developed by the project will



Programme	Funding Agency	Description	Committed Funds	Period	Typical Annual Spend
		development of future energy products and services targeted at consumer requirements. The findings will help determine further system design work by the ETI in the first phase of the programme.			
<u>Low Carbon</u> <u>Networks Fund</u>	<u>Ofgem</u>	As part of the electricity distribution price control arrangements that run from 1 April 2010 to 31 March 2015, Ofgem established the Low Carbon Networks (LCN) Fund. The Fund allows up to £500m support to projects sponsored by the distribution network operators (DNOs) to try out new technology, operating and commercial arrangements. The objective of the projects is to help all DNOs understand what they need to do to provide security of supply at value for money as Great Britain (GB) moves to a low carbon economy.	£500m	2010 - 2015	
Network Innovation Competition	Ofgem	From 2015 to 2023, Ofgem will adopt a new funding regime called RIIO (RIIO stands for Revenue = Incentives + Innovation + Outputs). RIIO-ED1, the regime concerned with electricity distribution, the Low Carbon Network (LCN) Fund will be replaced by the Network Innovation Competition (NIC) and will apply to electricity transmission and distribution companies. For the first two years of the scheme, the NIC fund for electricity will be £90m per year. This scheme will operate alongside two other schemes:  • The Network Innovation Allowance (NIA) – aims to fund small-scale innovation projects. Value defaults to 0.5% of allowed revenues unless companies excel		2015 - 2023	Approx. £90m/year



Programme	Funding Agency	Description	Committed Funds	Period	Typical Annual Spend
		of allowed revenue.  • The Innovation Roll-out Mechanism (IRM)  – a mechanism to enable companies to apply for additional funding to roll-out a proven innovation, which meets defined criteria.			
Support for Innovation	TSB	Business, rather than the Technology Strategy Board, is the source and delivery agent of innovation. TSB offers a range of programmes and tools, each with different strengths, to support businesses on the innovation journey.  Funding for Research, Development and Demonstration projects ranges from small proof -of-concept grants and feasibility studies through to large multi-partner collaborative R&D and demonstration projects. The businesses TSB supports range from pre start-up, start-up and early stage micro businesses, to large multinationals. There are different models depending on the specific needs of companies, sectors and technologies.		2012 - 2015	Approx. £25m
		TSB also provides academic-business knowledge transfer opportunities, open innovation networking platforms, the route for UK businesses to access European support for innovation and technology and opportunities for innovative businesses through our growing network of Catapult centres.			
		<ul> <li>Their Support for Innovation schemes include:</li> <li>Biomedical Catalyst</li> <li>Catapult centres</li> <li>Collaborative research and development</li> </ul>			



_	Funding Agency	Description	Committed Funds	Period	Typical Annual Spend
		<ul> <li>_connect</li> <li>Demonstrators</li> <li>Feasibility Studies</li> <li>IC Tomorrow</li> <li>Innovate UK</li> <li>Innovation Vouchers</li> <li>International programmes</li> <li>Knowledge Transfer Networks</li> <li>Knowledge Transfer Partnerships</li> <li>Launchpad</li> <li>Missions</li> <li>Micro and Nanotechnology Centres</li> <li>SBRI</li> <li>Smart</li> <li>State aid</li> <li>Working with SMEs</li> </ul>			



**Table 4.2: Key Research Providers** 

Name	Description	Sub-topics covered	No of staff	Sector
ARUP	Arup brings depth of experience to energy challenges worldwide – in oil and gas, power and energy strategy.  Most relevant to the socio-economic theme is ARUP's Energy Strategy department which offers practical advice on energy technology and regulation. They join up technical, commercial, planning and policy expertise to help clients make informed plans – and take decisive action – to improve performance through energy and carbon management.  Drawing on relevant, real-world expertise in financial modelling, energy, infrastructure and more, they help their clients meet their energy and carbon challenges. For instance, they were responsible for updated the City of Melbourne's Zero Net Emissions by 2020 strategy.	<ul> <li>Energy strategy</li> <li>Energy storage</li> <li>Carbon management</li> <li>Renewable energy supply</li> <li>Thermal and nuclear power systems</li> <li>Heat, gas and electricity transmission and distribution</li> <li>Oil and gas supply</li> </ul>	10,000 staff globally working across Arup's full remit, which extends beyond energy	Consulting engineers
Carbon Trust	The Carbon Trust's mission is to accelerate the move to a sustainable, low carbon economy. They are independent experts on carbon reduction and resource efficiency, who reinvest surpluses from group commercial activities into our mission.  The Carbon Trust engages in 4 main areas:  • Advice - Whether you're a business, government or public sector body, they can support you to develop	<ul> <li>Global carbon reduction business advice</li> <li>International carbon policy and market advice</li> <li>Carbon footprint measurement</li> <li>Commercialising clean technologies</li> <li>Carbon footprint certification</li> <li>Energy Efficient equipment procurement and installation</li> </ul>	Approx. 200 staff	Management consultancy



Name	Description	Sub-topics covered	No of staff	Sector
Name	low carbon strategies and policies to reduce your carbon emissions. Their advice will help you cut energy costs and gain a competitive edge in a challenging economic climate.  • Footprinting - They measure and certify the environmental footprint of your organisation, its supply chain and products or services, so you can identify efficiencies and maximise your low carbon credentials.  • Technology - They help to develop and deploy low carbon technologies by supporting both equipment suppliers and our clients throughout the journey of bringing clean technologies to market and by helping to put energy efficiency and other low carbon solutions into practice, with complementary financing solutions.  • Investment - The Carbon Trust has set up and invested in a number of innovative and pioneering low carbon companies. The Carbon Trust has catalysed more than £123million of private-sector co-investment into pre-commercial technologies through focused programmes that de-risk their	Sub-topics covered	NO OI SCAII	Section
	commercialisation.			
The Centre for Low Carbon Futures (CLCF)	CLCF is a collaborative research organisation focused on translational research, primarily related to energy use	<ul><li>Climate Smart &amp; Low Carbon Cities</li><li>Energy Storage</li></ul>	A core team of 7 people that coordinate	Social science research
	and carbon reduction activities in the EU, China, India and Latin American countries.	<ul><li>Food Security</li><li>Global Leadership</li></ul>	projects that engage	

Name	Description	Sub-topics covered	No of staff	Sector
	It was founded by the Universities of Hull, Leeds, Sheffield and York in 2009, with the University of Birmingham joining in 2012  CLCF's activities are funded by member university funds, governments, research councils and international agencies. Following their initial funded research	Programme  Sustainable Production and Consumption Carbon Capture, Storage and Utilisation Renewable Energy	personnel from its 5 core members and its various different partners	
The Centre for Sustainable Energy (CSE)	agenda, in 2011/12 they have leveraged the flow of an additional £15m research funds to our member institutions.  CSE help people and organisations from the public, private and voluntary sectors meet the twin challenges of rising energy costs and climate change.	<ul> <li>Cutting carbon emissions</li> <li>Saving energy</li> <li>Tackling fuel poverty</li> <li>Delivering renewable</li> </ul>	Approx. 50 staff	Management consultancy
	Its vision is a world where sustainability is second nature, carbon emissions have been cut to safe levels and fuel poverty has been replaced by energy justice. Its mission is to share our knowledge and practical experience to empower people to change the way they think and act about energy	<ul> <li>energy</li> <li>Planning for sustainable energy</li> <li>Exploring energy justice</li> </ul>		
	CSE's achieves these aims by giving advice, managing innovative energy projects, training others to act, and undertaking research and policy analysis.			
Chatham House (officially known as The Royal Institute of International Affairs)	Chatham House is one of the world's leading organisations for the analysis of international issues. The Institute works to stimulate debate and research on political, business, security and other key issues in the international arena.	<ul> <li>Promoting Climate         Security</li> <li>Enabling Energy Security</li> <li>Strengthening         Sustainable         Development Solutions</li> </ul>	12 Programme Staff and 19 Associate Fellows	NGO

Name	Description	Sub-topics covered	No of staff	Sector
Committee on Climate Change	Department (EEDP) conducts high-level research on critical issues of energy security, environment and resource governance and to influence and enable decision-makers - governments, NGOs and business - to take well-informed decisions that contribute to achieving sustainable development.  Chatham House is Europe's leading foreign policy think-tank. It is an independent membership-based organization that brings together people from government, politics, business, NGOs, the academic world and the media.  The Committee on Climate Change (CCC) is an independent body established under the Climate Change Act to advise the Government on emissions targets, and to report to Parliament on progress made in reducing greenhouse gas emissions.  The CCC's Priorities are to:  Provide independent advice to Government on setting and meeting carbon budgets and targets.  Monitor progress in reducing emissions and achieving carbon budgets.  Conduct independent research and analysis into climate change.  Engage with representatives interested in climate change from across the UK in order to share research and information on climate change and gain input into our analysis.	The science of climate change     Mitigation: reducing carbon emissions     Legal context     Adapting to climate change	13 staff on the committee (including adaptation). Approx. 41 staff in total	NGO

Name	Description	Sub-topics covered	No of staff	Sector
CO2Sense	CO2Sense helps businesses and public-sector organisations to cut their greenhouse gas emissions and their costs. We help businesses that sell low-carbon products to become more successful. We work with some of the largest companies in the world to develop projects that will make huge savings in carbon emissions.  They have worked with thousands of businesses, from household names to small entrepreneurs. The organisation has also provided investment into low-carbon projects. Over the past 4 years they have supported over 20 projects, investing over £10m.  CO2Sense are a not-for-profit consultancy and so they don't profit from their activities. Any profits from their consultancy and investment activities are reinvested back into the organisation to continue forward its activities.	Resource efficiency     Low-carbon innovation     Low-carbon electricity and heat generation	Approx. 30 staff	Management consultancy (formerly an NGO)
Energy Efficiency Deployment Office, DECC	The Energy Efficiency Deployment Office (EEDO) has been set up to drive a step change in energy efficiency. Energy efficiency is at the heart of the government's approach to tackling dangerous climate change and ensuring safe, secure and affordable energy supplies. Energy efficiency is often the quickest and most cost effective solution when compared with the alternative and provides growth and job opportunities through the supply chain.  EEDO represents the government's centre of expertise on energy efficiency and aims	Energy Efficiency     Low carbon energy policy making	n/a	Regulator



Name	Description	Sub-topics covered	No of staff	Sector
	to support the coherent delivery of our existing energy efficiency policies. It has recently set out the Government's Energy Efficiency Strategy, including where there is potential for further energy efficiency across the economy, and how this might be brought about.			
Ecolane Transport and Environment Consultancy	Ecolane provides independent advice on how to reduce the environmental impact of road transport through the promotion of low carbon vehicles.	<ul> <li>Life cycle assessment of low carbon vehicles</li> <li>Vehicle emissions audits</li> <li>Consumer attitude surveys</li> <li>Analysis of vehicle purchasing behaviour</li> <li>Modelling the impacts of vehicle taxation</li> </ul>	n/a	Consulting Engineers & Management Consultancy
E4tech (UK) Ltd	E4tech is an independent technologically-informed business consultancy in sustainable energy. Its international team have backgrounds in industry, consultancy and research. E4tech provides services relating to technology, policy and business across a wide range of energy areas. Its clients include investors and financial institutions, governments and public agencies, energy, industrial and automotive companies.	<ul> <li>Fuel Cells</li> <li>Hydrogen</li> <li>Bioenergy</li> <li>Sustainable Buildings</li> <li>Energy systems</li> <li>Novel Energy</li> <li>Solar Energy</li> </ul>	10 staff (based in London)	Management consultancy
Energy Research Partnership (ERP)	The Energy Research Partnership is a high-level forum bringing together key stakeholders and funders of energy research, development, demonstration and deployment in Government, industry and academia; plus other interested bodies, to identify and work together towards shared goals.  The Partnership has been designed to give strategic direction to UK energy	<ul> <li>Buildings Technologies         (future ERP project)</li> <li>Flexible Thermal         Generation (future ERP         project)</li> <li>Public Engagement</li> <li>Hydrogen</li> <li>Resource Use Strategies</li> <li>International Abatement         Opportunities</li> <li>Flexibility Options</li> </ul>	4 staff	Social science research



Name	Description	Sub-topics covered	No of staff	Sector
	innovation, seeking to influence the development of new technologies and enabling timely, focussed investments to be made. It does this by:  i. Influencing members in their respective individual roles and capacities ii. Communicating views more widely to other stakeholders and decision makers as appropriate. ERP's remit covers the whole energy system, including supply (nuclear, fossil fuels, renewables), infrastructure, and the demand side (built environment, energy efficiency, transport).	<ul> <li>Industrial Energy         Efficiency</li> <li>International         Engagement</li> <li>Nuclear</li> <li>Energy Storage</li> <li>Bioenergy</li> </ul>		
Energy Savings Trust (EST)	EST is a non-for-profit organisation that gives evidence-based insight and advice to empower millions to lead affordable, lowenergy lifestyles works. It works on the ground in communities and with households, and runs UK-wide trials on new and emerging technologies. Doing so means it can act as the linchpin between national and local governments, industry and millions of consumers.  EST exists to:  • Give free independent and impartial advice online  • Forge partnerships to reach more people more quickly  • Help communities to get started with low-carbon projects  • Help drive the green economy  • Undertake new pioneering research to help us find practical solutions	Energy efficiency     Community energy	Approx. 200 staff	Management consultancy



Name	Description	Sub-topics covered	No of staff	Sector
	towards a low-carbon life			
Forum for the Future	Forum for the Future are an independent non-profit who work globally with business and government to inspire new thinking, build creative partnerships and develop practical solutions. We share what we've learned so that others can benefit – and act.  Working with pioneering partners, they have worked to transform the essential systems of food, energy and finance to secure a more fulfilling life for us and future generations.  Over the past 15 years, Forum for the Future has:  • Changed the debate about sustainable business by highlighting the opportunities it offers, and been instrumental in altering the way leading companies operate – from Aviva to Unilever;  • Trained thousands of leaders, across society, through our master classes and Masters Programme, so they are knowledgeable about sustainable development and equipped to face the global challenges like climate change and shortages of vital resources;  • Promoted long-term thinking and created shared, positive visions of tomorrow with businesses, government and NGOs, through our ground-breaking futures and scenarios work;	<ul> <li>Energy</li> <li>Food</li> <li>Finance</li> <li>Other Sectors (e.g. shipping, healthcare etc.)</li> <li>Futures &amp; Diagnosis</li> <li>Innovation</li> <li>Sustainable Business</li> <li>Scaling Up</li> </ul>	approx. 13 working on energy specific projects	Social science research & management consultancy

Name	Description	Sub-topics covered	No of staff	Sector
	<ul> <li>Sparked innovation of new products, services and business models that will make our world more sustainable.</li> </ul>			
Green Alliance	Green Alliance is an influential environmental think tank working to ensure UK political leaders deliver ambitious solutions to global environmental issues.  It understands that political decisionmaking and have helped to change policy, bringing climate change and environmental issues into the mainstream. It works closely with partners in the third sector, business and other spheres to advocate proposals influential on all sides of the political spectrum.  Its activities include:  Research Advocacy Convening high-profile events with senior politicians and key influencers.	<ul> <li>Political Leadership</li> <li>Sustainable Economy</li> <li>NGO Engagement</li> <li>Low Carbon Economy</li> <li>Sustainable Business</li> <li>Resource Stewardship</li> <li>Localism</li> <li>Green Living</li> </ul>	16 staff	Social Science research & management consultancy
Institute for European Environmental Policy (IEEP)	The Institute for European Environmental Policy (IEEP) is an independent research organisation concerned with policies affecting the environment in Europe and beyond.  The Institute's aim is to disseminate knowledge about Europe and the environment and to analyse and present policy options. Its focus on European	<ul> <li>Agriculture &amp; Land Management</li> <li>Climate Change &amp; Energy</li> <li>Environmental Economics</li> <li>Industrial Pollution</li> <li>Water, Marine &amp; Fisheries</li> <li>Resource Use, Waste &amp; Chemicals</li> <li>Biodiversity</li> <li>Global Issues &amp; External</li> </ul>	4 directors and 38 researchers	NGO Social Science research



Name	Description	Sub-topics covered	No of staff	Sector
	policy making is shared by few and we have a reputation based on being first in the field and possessing a history of knowledge and involvement acquired over thirty years. It undertake research and consultancy on the development, implementation and evaluation of environmental and environment-related policies in Europe.	Action • Governance		
	Its research work involves both pressing short-term policy issues and long-term strategic studies. Its project portfolio varies from year to year but the institute is committed to being at the forefront of thinking about the environmental aspects of EU policies and keeping an open dialogue with policymakers and stakeholders.			
McKinsey & Company	McKinsey & Company is a global management consulting firm. They are the trusted advisor to the world's leading businesses, governments, and institutions.  Their mission is to help leaders make distinctive, lasting, and substantial improvements in performance, and constantly build a great firm that attracts, develops, excites, and retains exceptional people.  McKinsey aims to advance understanding of sustainability and resource productivity issues, including carbon abatement, the economics of strategic resources such as water, land, energy and materials, and the circular economy. They aim to lay out the challenges and opportunities for	<ul> <li>Advanced Electronics</li> <li>Electric Power &amp; Natural Gas</li> <li>Financial Services</li> <li>High Tech</li> <li>Infrastructure</li> <li>Oil &amp; Gas</li> <li>Private Equity &amp; Principal Investors</li> <li>Public Sector</li> <li>Retail</li> <li>Semiconductors</li> <li>Social Sector</li> <li>Telecommunications</li> <li>Travel, Transport &amp; Logistics</li> </ul>	Over 10,000 employees working globally, not all on energy specific projects	Management consultancy

Name	Description	Sub-topics covered	No of staff	Sector
	sustainable growth and climate resilience, and propose pathways for transformational change.			
NERA Economic Consulting	NERA Economic Consulting is a global firm of experts dedicated to applying economic, finance, and quantitative principles to complex business and legal challenges. For half a century, NERA's economists have been creating strategies, studies, reports, expert testimony, and policy recommendations for government authorities and the world's leading law firms and corporations. The firm brings academic rigor, objectivity, and real world industry experience to bear on issues arising from competition, regulation, public policy, strategy, finance, and litigation.	<ul> <li>Economic regulation</li> <li>Industry restructuring</li> <li>Institutional structures regulatory reform</li> <li>Public policy</li> <li>Competition policy</li> </ul>	26 staff in London office	Management consultancy
Pöyry Energy Ltd	Pöyry is a global consulting and engineering company dedicated to balanced sustainability and responsible business. With quality and integrity at our core, it deliver best-in-class management consulting, total solutions, and design and supervision. Our in-depth expertise extends to the fields of energy, industry, urban & mobility and water & environment. Pöyry has 7000 experts and a local office network in about 50 countries.  Pöyry Energy Consulting is Europe's leading energy consultancy providing strategic, commercial, regulatory and	<ul> <li>Hydropower</li> <li>Power &amp; Heat</li> <li>Renewable Energy</li> <li>Nuclear Energy</li> <li>Transmission &amp; Distribution</li> </ul>	Approx. 250	Management consultancy
Oxford Economic Research Associates (OXERA)	policy advice to Europe's energy markets.  Oxera is one of Europe's foremost independent economics consultancies.  Established in 1982, they have built a	<ul><li>Financial services</li><li>Communications</li><li>Energy</li></ul>	6 directors, 8 Managing consultants, 19	Management consultancy
	reputation for providing critical economic	Water	Senior	

Name	Description	Sub-topics covered	No of staff	Sector
	insight to an international list of clients including governments, regulators and major companies.  Oxera applies economic analysis to 'realworld' problems in order to help companies, policy-makers and regulators make strategic decisions. Oxera works with customers to develop truly innovative	Transport	consultants, 15 Advisers and associates	
	ideas and insightful analyses that achieve forward-thinking, tangible results.			
Oxford Institute for Energy Studies	The Oxford Institute for Energy Studies was founded in 1982 as an autonomous centre for advanced research into the social science areas of energy issues. The Institute is committed to the idea of dialogue – between consumers and producers, government and industry and academics and decision makers. This is reflected in the membership of the Institute and in the composition of its research team, which is drawn from different national, academic and professional backgrounds.  The aim is that co-operation between researchers from these varied backgrounds, will lead to a more informed and balanced understanding of the behaviour, motivations and objectives of the various economic forces, agents and	<ul> <li>the economics of petroleum, oil, gas, nuclear power, solar and renewable energy;</li> <li>the politics and sociology of energy;</li> <li>the international relations of oil-producing and oil-consuming nations;</li> <li>the economic development of oil-producing countries and the energy problems of other</li> <li>developing countries; and</li> <li>the economics and politics of the environment in its</li> </ul>	1 Director, 3 Programme Directors, 23 Research Fellows, 14 visiting Research Fellows, 17 Research Advisors, and 5 Administrative Staff	Social science research
	policy makers that operate in or influence the performance of international energy markets.	relationship with energy.		
Redpoint Energy	Redpoint Energy is a specialist energy consultancy, advising clients on investments, strategy and regulation	<ul><li>Market analytics</li><li>Investment analytics</li><li>Risk analytics</li></ul>	10 staff	Management consultancy
	across Europe's liberalised power, gas and	Energy policy and		

Name	Description	Sub-topics covered	No of staff	Sector
	carbon markets. Since its formation in October 2004, Redpoint has established itself as one of Europe's leading strategic and analytical energy consultancies. Redpoint's clients include some of Europe's largest energy companies and financial institutions. It provide advice and analysis on areas including electricity trading arrangements, generation asset investment, risk measurement, retail pricing strategies and carbon market price formation.	regulation • Electricity Market Reform • Generation optimisation		
Ricardo	Ricardo is a leading global provider of product innovation, engineering solutions, clean technology and strategic consulting. Through its advanced and well-equipped technical facilities in North America, Europe and Asia they serve a wide and balanced customer base including the market-leading brands across a range of industrial sectors, as well as government agencies and national and international regulatory authorities.  Ricardo's mission is to deliver value through innovation and technology. Its vision is to be a world leader in transportation and sustainable energy technology, development and consulting.  Ricardo recently acquired AEA, now Ricardo AEA, which is a global sustainability consultancy. It combines world-leading energy, climate change and environmental expertise with powerful IT, knowledge management and economics capability.	<ul> <li>Marine</li> <li>Clean Energy and Power Generation</li> <li>Rail</li> <li>Defence</li> <li>Agricultural &amp; Industrial Vehicles</li> <li>Commercial Vehicles</li> <li>Motorcycles &amp; Personal Transportation</li> <li>High Performance Vehicles &amp; Motorsport</li> <li>Passenger Car</li> <li>Government</li> </ul>	n/a	Management consultancy



# 5. Development and Demonstration Funding

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Not applicable

## **6. Research Facilities and Other Assets**

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Not applicable

## 7. Networks

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**Table 7.1 Networks** 

Network	Established	Description	Membership	Activities
British Institute of Energy Economics (BIEE)	1980	The British Institute of Energy Economics (BIEE) is the leading professional association for energy economics and related disciplines in the UK.  With a history spanning more than 30 years, BIEE has established itself as a unique link between academia, the industry and policy makers in the energy sector. The BIEE has a diverse membership drawn from the academic, business, finance and consulting communities, as well as students in full time education.	Individual and corporate membership. Membership is drawn from universities, government, international agencies, regulatory bodies, industry and the financial sector.	Membership fees support a programme of meetings and publications, which further the BIEE's aims.
		The role of the BIEE is to bring together these diverse stakeholders in order to facilitate and promote research, discussion and debate on key energy issues. To fulfil this role the BIEE has a programme of meetings, seminars and conferences held primarily in London, Oxford and Scotland. BIEE is the UK affiliate of the International Association of Energy Economics (IAEE).		



Energy Institute	2003	The Energy Institute (EI) is the professional body for the energy industry, delivering good practice and professionalism across the depth and breadth of the sector.  The purpose of the EI is to develop and disseminate knowledge, skills and good practice towards a safer, more secure and sustainable energy system. In fulfilling this purpose the EI addresses the depth and breadth of energy and the energy system, from upstream and downstream hydrocarbons and other primary fuels and renewables, to power generation, transmission and distribution to sustainable development, demand side management and energy efficiency.  The strategic aims of the EI are:  • To promote the role, status and contribution of energy professionals in society and maintain professional standards  • To equip energy professionals with tools to enable their positive contribution to society  • To ensure the availability of good quality energy education and learning provision  • To provide a forum for debate to facilitate the development and dissemination of energy knowledge and good practice  • To enhance public understanding of energy resources and their role in	Individual (fee required)	<ul> <li>Training courses</li> <li>Conferences, seminars, dinners, lunches and other events dealing with all sectors of the energy business</li> <li>International network of branches</li> <li>Education and career website called EnergyZone</li> <li>Hosts an information centre to find technical and market information on the energy industries</li> <li>Undertakes a focused technical work programme that comprises original independent research and investigations, technical responses to legislation and regulation, workshops and seminars, to provide the international industry with information and guidance on relevant technical issues.</li> <li>Develop and disseminate knowledge, skills and good practice towards a safer, more secure and sustainable energy system.</li> </ul>
		society		
Knowledge	Energy	Knowledge transfer is critical to enable UK	Individuals or	Benefits of joining a KTN

Transfer	Generation	businesses to compete successfully at the	Organisations	
<u>Networks</u>	and Supply	forefront of global technology and		Networking - frequent
(KTN)	KTN est. in	innovation. Knowledge Transfer Networks		opportunities to network with
	2009	(KTNs) are one of the Technology Strategy		other businesses and academics
		Board's key tools for doing this -		through targeted events, meetings
		facilitating the UK's innovation		and Special Interest Groups
		communities to connect, collaborate and		organised by the KTN
		find out about new opportunities in key		<ul> <li>Information and news - free access</li> </ul>
		research and technology sectors.		to on-line services such as reports,
				newsletters, webinars/e-training,
		As a single overarching national network in		events diaries, e-conferencing and
		a specific field of technology or business		collaboration tools and general
		application, a KTN brings people together		sector/application specific
		to stimulate innovation – from businesses		information
		of any size, research organisations,		<ul> <li>Funding opportunities - advice on</li> </ul>
		universities, and technology organisations,		Technology Strategy Board
		to government, finance and policy.		Collaborative R& D calls,
		, , , ,		Knowledge Transfer Partnerships
		There are 15 KTNs and all 15 KTNs		and other sources of funding for
		collaborate to form a 'network of		innovation
		networks'. The networks include:		Policy and regulation - a
				communications route between
		Aerospace, Aviation and Defence		their community, Government and
		Biosciences		EU, giving members the
		Chemistry Innovation		opportunity to influence policies
		Creative Industries		and regulation in the UK and
		Electronics, Sensors, Photonics		abroad.
		Energy Generation and Supply		
		Environmental Sustainability		
		Financial Services		
		HealthTech and Medicines		
		• ICT		
		Industrial Mathematics		
		Materials		
		Modern Built Environment		
		Nanotechnology		
		Transport		
		Ταποροιτ		
		The heart of the KTN community is		

		T	T	1
		'_connect', which us an online networking		
		platform. This is where 'open innovation'		
		happens and innovators use free, online		
		tools in a secure and confidential setting to		
		explore some of the challenging projects		
		and issues they face together. In addition		
		to the KTNs, you can find a wide range of		
		networking and collaboration groups.		
National	2007	The National Energy Research Network	Individuals and	Newsletter & information
Energy		(NERN) aims to bring together energy	organisations	dissemination
Research		researchers from all disciplines, giving	J	International and domestic
Network		members visibility of a wide and		networking
(NERN)		multifaceted area and providing		Events
(IACINIA)		opportunities through information and		- 210110
		through interaction with other members.		
Network for		This is a network of researchers,	Individual	Network activities are proactive
Comfort and		1	Individual	·
		consultants, designers and manufacturers		(Workgroups), reactive (Consultancy)
Energy Use in		concerned with building-related energy		and interactive (meetings).
<u>Buildings</u>		issues and the requirements for human		
		thermal comfort. The aim of the network is		
		to define and promote the research effort		
		needed to understand and enhance the		
		thermal comfort of building occupants		
		whilst also minimising the energy use of		
		buildings, in particular those without year-		
		round mechanical heating and cooling.		
		Outputs from members of the network		
		include research to underpin new norms		
		and standards for indoor climate and		
		design guidance for building controls. The		
		network is a centre for information in this		
		field of research and advice on the		
		implications of the work for the training of		
		building professionals. Membership		
		currently stands at more than 300, with		
		representation from 33 countries.		
Sustainable	2001	SDRN aims to facilitate and strengthen the	Membership of	SDRN undertakes a wide variety of
Development	2001	links between providers of research and	the network is	activities to promote the use of
DEVELOPITIENT		Tilling permeelt browners of research alla	LITE HELWOIK IS	activities to promote the use of

Research Network (SDRN)	policymakers across government, in order to improve evidence-based policymaking the deliver the UK government's objectives for sustainable development. Its specific objectives are to:  • Facilitate the provision of research and evidence to policymakers • Engage government policymakers, scientists and members of the research community • Promote sustainable development in the research and academic communities • Work with funding bodies to encourage relevant research • Advise the Defra Sustainable Development Unit on SD research	professional interest in UK SD Research and policy	sustainable development research within policy-making. In particular, the network:  • Undertakes Research and Evidence Reviews • Organises a rolling series of seminars, workshops and lectures • Organises an Annual Sustainable Development Research Conference • Produces the SDRN mailing, a fortnightly e-newsletter for all members
	issues		



# 8. UK Participation in EU Framework Programmes

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**Table 8.1: EU Framework Programmes** 

Project	Objectives	Action Line		_	Co-ordinator	Total		Duration	Annual
			Action			Funding			spend
ACCSEPT:	The aim of the ACCSEPT	FP6:	Specific			€0.399m	€0.399m	2006-01-	€0.2m
-	, <u> </u>		Support	•	<u>Veritas (DNV)</u>			01 -	
	, ,	3.2 The	Action	Environmental				2007-12-	
		development	1		4 partners			31	
	J , ,	of tools,		The University	'			24	
	measuring EU social	indicators		of				months	
	acceptance of CCS;	and		Manchester;					
	9	operational		Baker &					
	establishment of CCS	parameters		Mckenzie					
	guidelines for the EU ETS;	for		LLPp					
		assessing							
	addressing gaps in existing								
	socio-economic studies.	transport							
		and energy							
		systems							
		performance							
BARENERGY:	The main objective in this	FP7:	Small or		Statens Institutt	€2m		2008-01-	€0.58m
	p ·		medium-		<u>for</u>			01 to	
energy		2007-9.1-02			Forbruksforskning,	,		2010-06-	
changes	relevance and strengths of		focused		Norway			30	
among end	various barriers for energy		research	Energy					
		changes	project		8 partners			30	
households	end consumers and							months	
	households, and to discuss								
	how activities from political								
	authorities, energy								
	producers and NGOs can								
	overcome these barriers.								



Project	Objectives	Action Line		UK Darticinanto	Co-ordinator	Total		Duration	
01070				•	and partners	Funding			spend
CASES: Cost	The CASES Co-ordination	FP6:		University of	Fondazione Eni	€1.61m		2006-04-	€0.56m
	Action has three principal	SUSTDEV-	action	Bath	Enrico Mattei			01 -	
sustainable	objectives:	1.2.8 Socio-						2008-09-	
energy		economic			25 partners			30	
systems	i. To compile detailed	tools and							
	estimates of both	concepts for						30	
	external and internal	J ,						months	
	costs of energy	strategy							
	production for								
	different energy								
	sources for the EU-2	5							
	Countries and for								
	some non-EU								
	Countries under								
	energy scenario s to								
	2030								
	ii. To evaluate policy								
	options for improving	9							
	the efficiency of								
	energy use, taking								
	account of the full								
	cost data; III. To								
	disseminate research	1							
	findings to energy								
	sector producers and								
	users and the policy								
	making community.								
CHANGING	This project aims to	FP7:	Small or	University of	<u>National</u>	€3.22m	€2.48m	2008-01-	€0.83m
BEHAVIOUR:	support the shift toward	ENERGY-	medium-	Salford, SURF	Consumer			01 to	
Contextualising	end-user services in	2007-9.1-02	scale	Centre;	Research Centre,			2010-12-	
behavioural	European energy policy. It	Energy	focused	Manchester	Finland			31	
change in	will:	behavioural	research	Knowledge					
energy		changes	project	Capital	13 partners			36	
programmes	i. develop a		. ,	(M:KC);	<u>'</u>			months	
involving	sophisticated but			Manchester	Note: This www				
intermediaries	practical model of			Enterprises	has an English link				
and	end-user behaviour				at the top left of				

Project	Objectives	Action Line		UK	Co-ordinator	Total		Duration	
			Action	•	and partners	Funding	Funaing		spend
policymaking	and stakeholder			(ME)	the page				
organizations	interaction								
working	ii. integrate								
towards	knowledge of								
changing	context (e.g.,								
behaviour	national culture an								
	institutions), timing	]							
	and actors into								
	demand								
	management								
	practice								
	iii. pilot the transfer of	f							
	context-tailored								
	demand side								
	programmes from								
	one European								
	country to another								
	iv. create a toolkit for								
	practitioners to								
	manage the social								
	and technical								
	change involved in								
	demand								
	management								
	programmes (i.e.,								
	energy efficiency								
	and renewable-								
	based end-user								
	generation).								
CREATE	The objectives of this	FP6:	Specific	University of	<b>Ecn Policy Studies</b>	€1.98m	€1.35m	2006-02-	€0.675m
ACCEPTANCE:	project are to increase the	SUSTDEV-	Targeted	Salford	-			01 -	
Cultural	competitiveness RES and	1.2.8 Socio-	Innovation		11 partners			2008-01-	
influences on	RUE technologies by	economic	Project					31	
renewable	developing a tool that can	tools and						24	
energy	measure, promote and	concepts for						months	
acceptance	improve social acceptance								
and tools for	of these technologies by	strategy							

Project	Objec	ctives	Action Line	Type of Action	UK Participants	Co-ordinator and partners	Total Funding	EU Funding	Duration	Annual spend
the	means	s of:			•	•				•
development	i.	Assessing the								
of		already developed								
communication		Socr obust tool								
strategies to		platform for the								
promote		suitability in genera								
acceptance		by mapping its								
among key		potential to								
actor groups		contribute to								
		societal embedding								
		of RES and RUE								
		technologies and								
		identification of the								
		limitations to assess	5							
		the social								
		acceptance of RES								
		and RUE								
	ii.	Determine the key								
		elements of social								
		acceptance of RES								
		and RUE								
		technologies by								
		assessing the								
		regionally historical								
		and recent social								
		acceptance of								
		renewable energy								
		technologies such								
		as hydrogen,								
		biomass, CO <sub>2</sub>								
		capture and								
		sequestration, solar								
		thermodynamics,								
		and wind								
	iii.	Enhance the								
		Socrobust tool								
		platform into a								

Project	Objectives	Action Line		UK Participants	Co-ordinator and partners	Total Funding		on Annual spend
	multi-stakeholder		ACTION	Participants	and partners	Funding	runung	Spend
	tool for assessing							
	and promoting							
	social acceptance							
	by integrating							
	knowledge gained							
	in objectives (i.),							
	and (ii.), and by							
	designing the							
	necessary							
	instruments and							
	procedures to							
	create a region and							
	target-group							
	specific strategy to							
	address the social							
	acceptance of the							
	deployed							
	technology							
	iv. Validation and							
	deployment of the							
	multi-stakeholder							
	tool in five selected							
	demonstration							
	projects, covering a							
	wide range of RES							
	and RUE							
	technologies as well							
	as various regions							
	in EUROP.							
ESTORAGE:	The objective of eStorage		Collaborative		<u>Alstom Hydro</u>	€22.11m	€12.76m2012-1	0- <b>€</b> 2.55m
Solution for	is to develop cost-effective		, -	College	<u>France</u>		01 to	
cost-effective	solutions for the		(generic)				2017-0	9-
integration of	widespread deployment of				6 partners		30	
renewable	flexible, reliable, GWh-							
intermittent	scale storage across EU,						60	
generation by	and to enhance grid						months	

Project	Objectives	Action Line		UK Participants	Co-ordinator and partners	Total Funding		Duration	Annual spend
demonstrating	management systems to			-	•		_		-
the feasibility	allow the integration of								
of flexible	large share of renewable.								
large-scale									
energy storage	The key issue we plan to								
with innovative	address is the need for								
market and	power regulation during								
grid control	low demand periods, when								
approach	only inflexible baseload								
	generation and								
	intermittent renewable								
	generation are operating.								
	In contrast to conventional								
	generation, a storage plant								
	able to regulate its								
	consumption could help to								
	avoid curtailing wind.								
IRENE-40:	The IRENE-40 project will	FP7-ENERGY			Areva T&D UK Ltd	€5.52		2008-09-	€0.963m
	identify strategies for		•	College				01 to	
roadmap for	investors and regulators to		(Generic)		8 partners			2012-08-	
energy	build a more secure,							31	
	ecologically sustainable								
Europe	and competitive European							48	
	electricity system. The							months	
	strategies will be								
	presented in a roadmap,								
	i.e. a timeline with actions								
	and a description of								
	development stages								
	towards future electricity								
	networks over the coming								
	40 years. It will provide								
	investment strategies for								
	the individual								
	stakeholders. The roadmap								
	will rely on a scenario								
	selected from a set of								

Project	Objectives	Action Line	Type of Action	UK Participants	Co-ordinator and partners	Total Funding		n Annual spend
	options, identified during			•				•
	the project and in							
	discussion with the							
	stakeholder community.							
ITESLA:	7 Transmission System	FP7-ENERGY	Collaborative	Imperial	RTE Réseau de	€19.44m	€13.23m2012-01	-€3.03m
Innovative	Operators (Belgium,		project	College;	<u>transport</u>		01 to	
Tools for	France, Greece, Norway,		(generic)	National Grid	<u>d'électricité</u>		2015-12	2-
Electrical	Portugal, Spain and United		,				31	
System	Kingdom) and CORESO, a				19 partners			
Security within	TSO coordination centre,						48	
Large Areas	together with 13 RTD						months	
	performers propose a 4							
	year R&D project to							
	develop and to validate an							
	open interoperable toolbox							
	which will bring support,							
	by 2015, to future							
	operations of the pan-							
	European electricity							
	transmission network, thus							
	favouring increased							
	coordination/harmonisation							
	of operating procedures							
	among network operators.							
	Under the coordination of							
	RTE, new concepts,							
	methods and tools are							
	developed to define							
	security limits of the pan							
	European system and to							
	quantify the distance							
	between an operating							
	point and its nearest							
	security boundary: this							
	requires building its most							
	likely description and							
	developing a risk based							

Project	Objectives	Action Line	Type of Action	UK Participants	Co-ordinator and partners	Total Funding	uration	Annual spend
	security assessment			-	•			-
	accounting for its dynamic							
	behaviour.							
	The chain of resulting tools							
	meets 3 overarching							
	functional goals:							
	i. to provide a risk							
	based security							
	assessment							
	accounting for							
	uncertainties							
	around the most							
	likely state, for							
	probabilities of							
	contingencies and							
	for corresponding							
	preventive and							
	corrective actions							
	ii. to construct more							
	realistic states of							
	any system (taking							
	into account its							
	dynamics) over							
	different time							
	frames (real-time,							
	intraday, day							
	ahead, etc.)							
	iii. to assess system							
	security using time							
	domain simulations							
	(with less							
	approximation than							
	when implementing							
	current standard							
	methods/tools)							

Project	Objectives	<b>Action Line</b>			Co-ordinator	Total		
						Funding		spend
NEEDS: New	The NEEDS proposal	FP6:			<u>Istituto di Studi</u>	€11.7m	€7.59m2004-08-	€1.9m
			-	Bath;	per l'Integrazione		31 -	
	Sustainable Energy	Sustainable			<u>dei Sistemi</u>		2008-08-	
		energy		Newcastle			30	
		systems			67 partners			
Sustainability	6.1.3.2.5: Socio-economic			Institute of			48	
	tools and concepts for			Occupational			months	
	energy strategy. Its			Medicine				
	ultimate objective is to							
	evaluate the full costs and							
	benefits (i.e. direct +							
	external) of energy policies							
	and of future energy							
	systems, both for							
	individual countries and for							
	the enlarged EU as a							
	whole.							
		FP7-ENERGY	Collaborative	University of	Technofi SA	€4.26m	€2.62m2009-10-	€0.87
Open Platform	Operators (Belgium,		project	Manchester			01 to	
to Test	France, Germany and		(generic)		11 partners		2012-09-	
Integration in	Spain) together with seven						30	
new MArkeT	RTD performers propose a							
DEsigns of	3 year research and						36	
massive	demonstration project to						months	
intermittent	compare pros and cons of							
energy sources	new market designs							
dispersed in	aiming at the integration of	•						
several	massive intermittent							
regional power	energy sources dispersed							
markets	in several regional power							
	markets. Under the							
	technical coordination of							
	RTE, they will implement a							
	novel							
	network/system/market							
	modelling approach to							
	provide the consortium							



Project	Objectives	Action Line	Type of Action	UK Participants	Co-ordinator and partners	Total Funding	Duration	Annual spend
	with an open simulation			•	•			•
	platform able to exhibit the							
	comparative benefits of							
	several market design							
	options. Such options may							
	originate either from							
	anyone of the four studied							
	markets or from partners							
	that have already worked							
	for the UK or Danish							
	electric systems. Market							
	participants and TSO are							
	players of such a							
	simulation: each area is							
	represented by aggregated							
	realistic data over one year							
	and system security rules							
	are fulfilled at any time.							

# 9. International Initiatives

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**Table 9.1: International Activities** 

Name	Туре	Description	<b>UK Contact Point</b>
Climate Technology Initiative (CTI)	IEA Implementing Agreement	The Climate Technology Initiative (CTI) is a multilateral initiative, operating as an Implementing Agreement under the International Energy Agency (IEA). CTI's objective is to enable countries to work together to foster international co-operation for accelerated development and diffusion of climate-friendly and environmentally sound technologies and practices.  CTI participating countries undertake a broad range of co-operative activities in partnership with developing and transition countries and other international bodies.  The CTI works closely with the United Nations Framework Convention on Climate Change (UNFCCC) process, including its Secretariat and the Expert Group on Technology Transfer (EGTT), relevant IEA Implementing Agreements and other international organizations or initiatives.  The CTI was established at the first Conference of Parties to the UNFCCC in 1995 by 23 IEA/OECD Member Countries and the European Commission. In 2003, the CTI gained a new status as	n/a
		an IEA Implementing Agreement.  The CTI's activities are designed to be consistent with the UNFCCC objectives, in particular the framework for technology transfer incorporated in the Marrakech Accords and adopted at the Seventh Conference of the Parties to the UNFCCC. As an IEA Implementing Agreement, the CTI intends to continue its current programme and to extend it into new areas consistent with its overall objectives.	



	1		
		CTI activities include:	
		<ul> <li>Technology Needs Assessments</li> <li>Seminars and Symposia</li> <li>Implementation Activities</li> <li>Training Courses</li> <li>Information Dissemination</li> <li>Support Activities</li> </ul>	
<u>Demand-Side</u>	IEA Implementing	The IEA DSM Programme promotes energy efficiency and	Tom Bastin, DECC
Management Programme	Agreement	demand-side management for global sustainable development and for business opportunities. The overall objective of the Implementing Agreement on Demand Side Management is to help DSM technologies reach their full market potential, thereby allowing energy systems and utility investments to function more effectively and giving energy system investments enhanced value for gas and electricity customers.	Matt Alexander, Solstice
Energy Conservation	IEA Implementing	The Implementing Agreement on Energy Conservation in	Clare Hanmer, The
in Buildings and Community Systems (ECBCS)	Agreement	Buildings and Community Systems (ECBCS) focuses its work on ways to improve energy efficiency in buildings. Whilst this programme is predominantly focused on building design and construction, it also incorporates a socio-economic focus. ECBCS administers the Future Buildings Forum and in cooperation with other buildings-related Implementing Agreements, the Forum organises workshops aimed at identifying long term energy, environmental, economic and technical issues related to buildings and the R&D needs associated with them.	Carbon Trust
European Council for	International	ECEEE is a membership-based non-profit association. We	n/a
an Energy Efficient Economy (ECEEE)	network	generate and provide evidence-based knowledge and analysis of policies, and we facilitate co-operation and networking through our Summer Studies, workshops, and social media.	
International	International	The IAEE is a worldwide non-profit professional organization	Benjamin J. Klooss, BP
Association of Energy	network	which provides an interdisciplinary forum for the exchange of	
Economics (IAEE)		ideas, experience and issues among professionals interested in	
		energy economics. To achieve this goal, it publishes The Energy	
		Journal – a quarterly, academic publication, and holds	
		International American and European Energy Conferences each year.	
	<u> </u>	year.	



LCC DNot	International	The International December Naturals for Law Courses Consisting in	Tim Watson LIVEDC
<u>LCS-RNet</u>	network	The International Research Network for Low Carbon Societies is a global network for understanding the transition to low carbon societies. Its objectives are: promotion of information exchange and research cooperation; dialogues between researchers and various stakeholders including policy-makers, businesses, citizens; contribution to international policy-making processes on climate change including G8 process by providing research outcomes.	Jim Watson, UKERC
Renewable Energy Technology Deployment (RETD)	IEA Implementing Agreement	The Implementing Agreement for Renewable Energy Technology Deployment (RETD) engages in collaborative activities seeking to advance renewable energy technology improvement and cost reduction for all renewable energy technologies by facilitating international deployment efforts.  RETD's mission is to measurably improve cooperation between participating governments in identifying cross-cutting barriers to deployment and providing "best practice" solutions, thus strengthening international collaboration for technology deployment. To provide guidance to the private sector and policy makers on innovative business strategies and projects that encourage technology deployment by fostering public-private partnership projects. To inform and facilitate on-going international dialogue and public awareness of renewable energy deployment by contributing concrete examples on deployment solutions.	Nick Clements, DECC
International Smart Grid Action Network (ISGAN)	IEA Implementing Agreement	The programme will consist of efforts to improve understanding of smart grid technologies, practices, and systems, to accelerate their development and deployment, and to promote adoption of related enabling government policies. It will create a network of national stakeholders to facilitate dynamic knowledge sharing, technical assistance, and project coordination, where appropriate, across five topic areas:  • Policy, Standards and Regulation • Finance and Business Models • Technology and Systems Development • User and Consumer Engagement • Workforce, Skills and Knowledge	John Baker, EA Technology
<u>Sustainability</u>	International	STRN is a wholly independent research-driven network governed	Frank Geels, University

Transitions Research Network (STRN)	network	by a steering group composed of leading researchers in the field. Membership of the STRN is open to anyone who is interested in research on sustainability transitions. The network aims to provide a space where researchers can engage in a vibrant intellectual exchange on the challenges of sustainability and find help and support in accessing resources, research topics and audiences for their work.  The mission of the network is:	of Manchester
		<ul> <li>to provide a meeting place for the international and multi-disciplinary community of scholars working in the field of sustainability transitions;</li> <li>to deepen the scientific understanding of sustainability transitions through a program of networking, research coordination and synthesis activities;</li> <li>to be a leading resource for practitioners such as actors in the arenas of policy making, civil society, and business who are working to advance societies into more sustainable directions.</li> </ul>	